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30 **1. Introduction**

31 The increasing cost of grid expansion and the externalities associated with fossil energy production have
32 made renewable energy systems (RES) an irresistible choice for rural electrification programs (REP) in
33 many developing countries. Evaluation of factors essential for a robust rural electrification program in
34 Bangladesh and Fiji indicates that photovoltaic (PV) solar systems represents the most cost effective means
35 of providing electricity to remote rural households [1]. Analysis of rural electrification programs in many
36 villages in Nepal revealed that there is no convincing alternative to solar PV systems [2]. A review of RES
37 production and utilization in Thailand indicates that a combination of renewable energy sources like PV,
38 wind and diesel generators has good potential for implementing decentralized electricity for remote rural
39 communities [3]. The appraisal of economic viability of different energy sources for rural electrification in
40 Vietnam showed that the levelized cost of PV energy is lower than the alternative from the fossil grid [4].
41 SHS electrification program has been found to be a useful tool in reducing rural-urban migration in remote
42 villages in rural Romania [5].

43 Assessment of rural electrification programs in South Africa showed that SHS is the most common
44 technology used to increase access to energy in the informal settlements due to its comparative advantage
45 over other renewable energy sources [6]. The South African SHS program has been in place for more than
46 a decade, resulting in many rural communities being equipped with solar based electricity systems [7].
47 However, the sustainability of the South African SHS program is under threat due to theft of solar panels
48 and the resultant behavioural change of the households using the systems [8-10].

49 Theft of solar panels have resultant effects on the usage pattern of SHS as has been reported in numerous
50 scientific publications. In India, an assessment of the Sundarbans project showed that most households have
51 moved their solar panels from the optimal south facing position to more visible positions with less solar
52 irradiation, to ensure that their equipment is not stolen [11]. In Papua New Guinea, equipment theft has
53 compelled many households to return to solid fuels to meet their energy needs [9]. Panel robbery has become
54 so common in rural Zimbabwe that most people now prefer to invest in other ventures, while some

55 households have resorted to shielding their panels with steel bars, which causes shading of the panels [12].
56 Our investigation of South African experience with SHS theft revealed two emergent trends. One is the use
57 of security lights to illuminate pole and roof mounted panels at night. The other is the habit of keeping solar
58 panels under surveillance for 24 hours of the day by most households, by placing them flat on the ground in
59 front of their houses during the day to keep them within sight. At night, the panels are taken indoors for safe
60 keeping.

61 These twin practices have been reported to have significant effects on the performance of SHS as a result
62 of non-optimal use of the systems. A study conducted in Tehran shows that snow, pollution and dust affects
63 the performance of solar panels, and that these effects are more severe at small tilt angles [13]. Performance
64 analysis of PV systems show that the optimal tilt angle in the southern hemisphere is close to the latitude
65 (θ) of the location [14]. The energy output of PV systems has been found to improve by placing them at an
66 optimal tilt angle of $(\theta-10)$ in summer, and $(\theta+10)$ in winter [15]. Operating a PV thermal collector with
67 reflectors at optimal positions improved both the electrical and thermal energy generated [16].

68 The two adaptive behaviours that we found have attendant effects on the economics of the SHS program,
69 given by the reports from various studies in this field. A field study on the performance of lead-acid batteries
70 associated with domestic PV lighting systems in Mexico, found that inappropriate use and limited
71 maintenance practices emanating from lack of user education resulted in shorter battery life [17]. A study
72 in Lundazi, Zambia indicates that overloading systems beyond the designed specification has a negative
73 effect on the technical life of the battery [18]. In addition [19] showed that short battery life and high cost
74 of replacement motivates the use of cheap batteries.

75 These adaptive behaviours are thus likely to affect the sustainability of the SHS program due to increased
76 cost resulting from replacement of batteries. Many studies on the economics of PV systems have shown that
77 they have economic advantages over other RES and fossil grid energy sources for rural electrification
78 programs. After analyzing the techno-economic feasibility of grid connected PV systems using life cycle
79 cost (LCC) [20] concluded that PV costs can be reduced with subsidies and tax exemptions. An evaluation

80 of LCC of PV systems used in electrifying remote rural households in India showed that it is beneficial and
81 suitable for long-term investment [21]. LCC model was used to determine the optimum relation between
82 PV array size and the battery capacity [22]. The environment impact of fuels used for cooking in Ghana was
83 investigated using conventional life cycle costing method [23]. Similarly, the influence of geographical
84 location and the PV type on environment load and energy payback time (EPT) was evaluated using LCC
85 [24]. An optimal sizing method based on genetic algorithm was used to achieve a required loss of load
86 probability (LOLP) at a minimum annual life cycle cost (ALCC) [25].

87 The measures adopted by locals to protect their SHS are a reflection of lack of user education amongst the
88 households in communities vulnerable to SHS theft in South Africa. Lack of user education is an indication
89 of the alienation of the locals from projects. Following the assessment of lessons and experiences of rural
90 electrification programs in Africa, [26] argued that SHS is a useful tool for rural development and
91 electrification, but large scale diffusion of it demands an energy policy that supports partnership with local
92 inhabitants. After analyzing 232 scientific articles, [27] recommended post-project plans that outlive
93 subsidies in order to increase the likelihood of self-sufficiency and long term viability of projects in rural
94 communities. Previous study have reported that a well articulate public-private partnership can deliver a
95 cost effective energy service in rural areas [28]. The importance of partnering with the locals in rural
96 electrification programs cannot be overemphasized, this situation captures the argument of [29] when it
97 posits that, rural electrification programs can benefit greatly from the involvement of local communities or
98 suffer because of its absence.

99 Reports from many scholarly article indicates that the low power capacity of SHS is a major challenge, and
100 a veritable source of resentment against the system by the locals [30-32]. Publications such as [33] advocates
101 for an increase in the capacity of SHS in order to improve the limited power capacity of the system. The use
102 of sophisticated controllers to enhance performance of SHS has been achieved in a study like [34]. Bypassed
103 diodes has been used to mitigate the effect of shading, thereby maintaining the power quality of a shaded
104 solar panels [35]. Most of these solutions concentrated on the technical design of solar panels and its

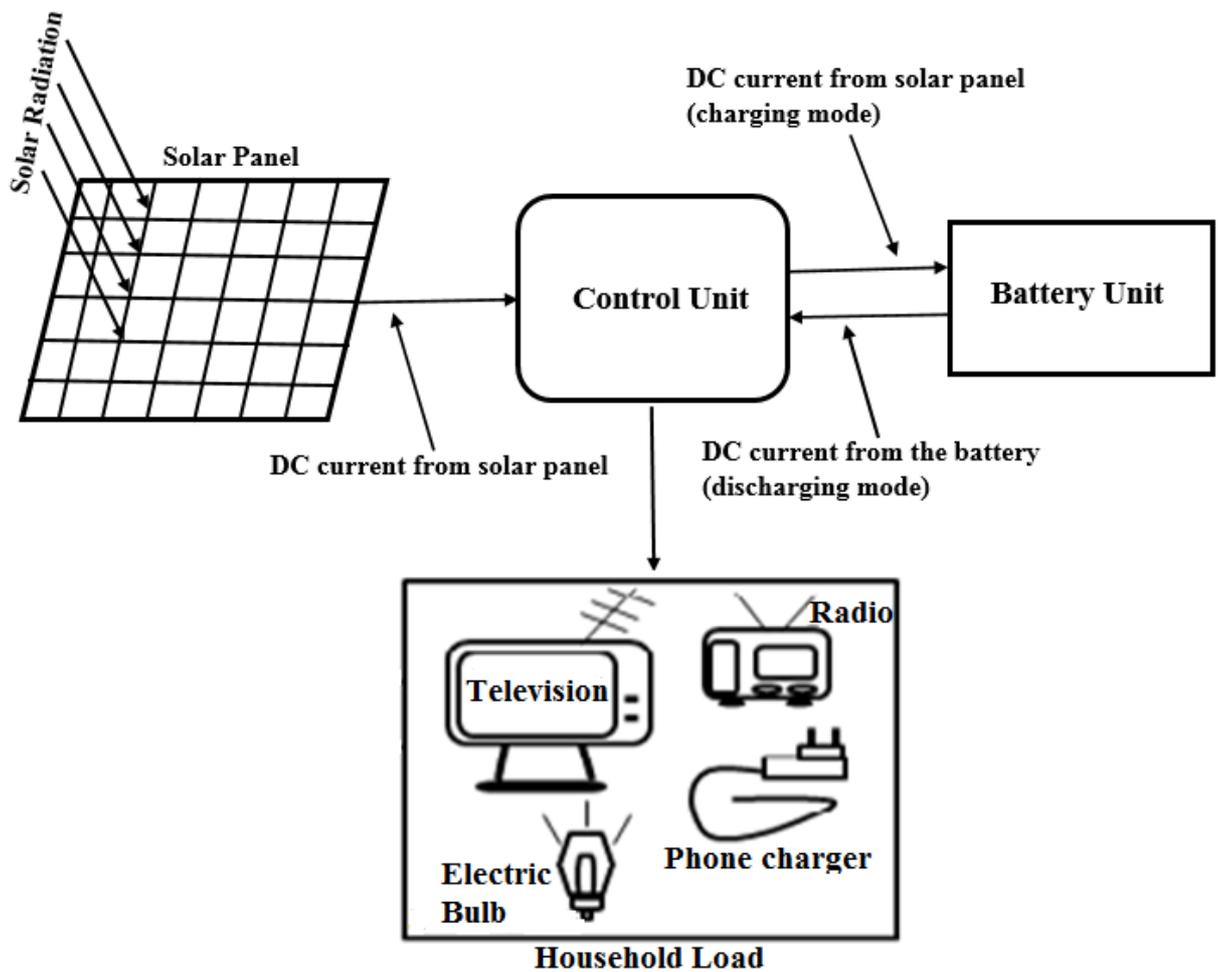
105 paraphernalia. Meanwhile, little attention has been given to the power losses that occurs on daily basis as a
106 result of the usage pattern of the equipment. This study therefore investigates the technical and economic
107 losses resulting from the divergence between the designed and the usage pattern of SHS in selected rural
108 settlements in South Africa. It investigates the linkage between the technical losses and the resultant
109 economic losses following the behavioural change of the users in response to solar panel theft. In addition,
110 it recommends the right size of load suitable for the capacity of SHS currently in use in South Africa.
111 Furthermore, it uses PVSYST solar software to investigate the right size of SHS that will meet the energy
112 need of users in line with the adopted practices, and proposes a cost effective Bench Rack solar panel
113 mounting device¹ for the optimization of operation of SHSs in developing countries.

114 **2. The standard Solar Home System used in South Africa**

115 The SHS used for the South African rural electrification project is a direct current (DC) system. It consists
116 of a solar panel (either 50W_P or 75 W_P), a 100 Ah, 12 V battery pack, battery safety fuse, and a charge
117 controller. Electricity generation is achieved using solar panels, the battery stores the energy during the day
118 and provides energy to the household load when the solar panel is not generating at night. The control unit
119 controls the charging of the battery and provides a low voltage disconnect function against excess discharge
120 of energy from the battery. Because the output power is low, it is used for appliances with low power
121 consumption such as, lighting, DC television, radio, and cell phone charging (Fig 1). The performance of
122 the SHS is compromised when it is overloaded, which occurs when loads are used for extended periods or
123 when oversized loads are connected to the system. The performance is also affected when the charge
124 controller is bypassed. The charge controller is bypassed by connecting the load directly to the battery.
125 Information from several SHS energy services providers confirm that this is a common practice by

1. ¹The Bench-Rack solar mounting system is designed to assist the poor households to achieve optimal use of solar home systems in the most cost effective manner. This is intended to overcome the habits of placing solar panels flat on the ground and the use of lights at nights to provide security for pole and roof mounted panels as a result of theft of solar panels.

126 uninformed users to allow access to electricity from the battery, after the low voltage-disconnect function
 127 of the control unit has disconnected them from the supply. Other factors that compromise the performance
 128 of SHS include shading from obstructing objects, animal and bird droppings etc. This scenario represents a
 129 typical example of the challenges faced by SHS operators in South Africa.



130
 131 Fig.1. Configuration of solar home system for rural electrification in South Africa

132 **3. Methodology**

133 Data on the SHS usage pattern of households were obtained through semi-structured interviews with 88
 134 SHS-using households in Polokwane, greater Tubatse and Vhembe municipalities in Limpopo province
 135 (Solar Vision concession area) and uMkhanyakude district municipality in northern Kwazulu Natal province
 136 (NuRa Energy concession area). Interviews were held with the management of Kwazulu Energy Services

137 (KES) Company (southern Kwazulu-Natal and Eastern Cape Province concession areas). The relevant staff
138 of the Department of Energy (DOE), the Department of Rural Development and Land Reforms (DRDLR),
139 and the managers of the three energy service providers known as the concession companies (represented by
140 Solar Vision, NuRa Energy and KES) were also interviewed. This was done to obtain information on their
141 experiences, in terms of usage patterns, challenges and opportunities associated with the SHS program.

142 We subjected the data obtained during the survey to a scientific analysis using PVSYST solar energy
143 software in order to verify the claims of some of our respondents. This is necessary to understand in
144 scientific terms and to place in proper perspective the challenges faced by households using SHSs in
145 developing countries as a result of limited knowledge of the system.

146 *3.1 Technical analysis*

147 Based on information gathered during the survey, the household load for the standard system was modeled
148 on the current pattern of energy usage in Thlatlaganya village in Polokwane Municipality in Limpopo
149 province. The optimized system was achieved by first obtaining the optimum tilt angle (β) for Polokwane
150 municipality through simulation and then calculating the seasonal tilt angles using the equation ($\theta-10$) for
151 summer and ($\theta+10$) for winter in accordance with [15]. The load profile for the optimized system was
152 obtained through reduction of the household load in steps, until there was no mismatch between the energy
153 need and energy supply, while the solar panel was simulated at the optimized seasonal tilt. The load was
154 reduced to meet an optimal size in line with the capacity of the SHS. The overloaded system was simulated
155 by leaving two 9 watt outdoor lights on for extended periods, simulating the practice of many users in the
156 village. The optimal tilt angle was used for the simulations in the overloaded system, since the solar panels
157 are mounted on poles and roof tops in line with designed specification. The loads obtained were used to
158 determine the state of charge (SOC) and depth of discharge (DOD) of the battery as given in equation (1).

$$159 \quad DOD = 1 - SOC \quad (1)$$

160 The loss of load probability (LOLP) for the three systems was simulated using values obtained from the
 161 load balance and battery performance analysis. The battery life expectancy was calculated using equation
 162 (2) according to [36].

$$163 \quad Bat_{lifecycle} = (89.59 - 194.29T) * e^{(-1.75 * DOD)} \quad (2)$$

164 The specification of the SHS used for the simulation is a $75 W_p$ solar panel and a 100 Ah, 12V battery unit
 165 (A deep cycle lead-acid battery is used for this investigation), which is the same specification as the SHS
 166 currently used for the rural electrification program in South Africa. Although the surveys and interviews
 167 were conducted in three provinces, the location used for the simulation was Thlatlaganya village in
 168 Polokwane municipality in Limpopo province. In the study, Meteonorm weather software [37] was used to
 169 obtain the weather information of Polokwane municipality. Polokwane is located at latitude $-23.87^\circ S$ and
 170 longitude $29.45^\circ E$. The optimal tilt angle for Thlatlaganya village was determined using TRNSYS-Winsun
 171 solar energy software [38] under the fixed tilt geometry system. PV.SYST solar energy software [39] was
 172 used to investigate the SOC of the battery using the load profile for each system. The simulation was carried
 173 out with 5% loss of load (LOL) factor and the battery days of autonomy was set at 3. Three case studies
 174 were carried out: Case 1 focuses on obtaining the optimal tilt angle, the energy output of the system at 0° ,
 175 the optimal and seasonal tilt angles. Case 2 focused on the SOCs for the standard, optimized and overloaded
 176 system: and Case 3 investigated the LOLP for the three systems. The economic analysis was performed
 177 using financial instruments such as, the LCC, annualized life cycle cost (ALCC), and unit cost of electricity
 178 (UCE) as indicators.

179 *3.2 Economic analysis*

180 The LCC for SHS is calculated without taking into consideration the inverter, since the analyzed SHS is a
 181 DC system. Therefore, in this case the LCC is given by equation (3) [20, 40, 41]

$$182 \quad LCC_{SHS} = C_{SOLP} + C_{CC} + C_{INST} + PW_{CM} + PW_{Bat} - SPW_{Bat} \quad (3)$$

183 The total cost of SHS is calculated using equation (4)

184 $C_{SHS} = C_{SOLP} + C_{CC} + C_{Bat}$ (4)

185 Where,

186 C_{SOLP} is the cost of solar panel, C_{CC} is the cost of the charge controller, while C_{INST} is the cost of installation
 187 of SHS, PW_{CM} is the present worth cost of maintenance of SHS throughout the life cycle, PW_{Bat} comprises
 188 the initial cost of battery and present worth of additional batteries, SPW_{Bat} is the salvage value of the battery
 189 at the end of the projected life span, and C_{SHS} Is the total initial cost of SHS.

190 $PW_{Bat} = C_{Bat} (x)^0 + C_{Bat} (x)^1 + C_{Bat} (x)^2 \dots\dots\dots + C_{Bat} (x)^{n-1}$ (5)

191 Where $x = \frac{1+i}{1+d}$, and C_{Bat} = the initial cost of battery.

192 The salvage value of the battery is calculated using equation (6) [40].

193 $SPW_{Bat} = C_{Bat} (Bat_{Remlife} / Bat_{lifecycle})$ (6)

194 Where, $Bat_{Remlife}$ represents the remaining lifetime of the Battery at the end of the estimated life cycle (25
 195 years).

196 The present worth cost of maintenance of the battery is given by equation (7) [21][42].

197 $C_{PWCM} = (C_{M/Y})(x) \left\{ \frac{1-(x)^N}{1-(x)} \right\}$ (7)

198 Where, $(C_{M/Y})$ is the maintenance cost per year, and $\left\{ \frac{1-(x)^N}{1-(x)} \right\}$ is the cumulative present worth factor

199 (PW_{CF}) , and N is the estimated lifetime of the SHS (20 years).

200 3.2.1 Annualized life cycle cost (ALCC) and Unit cost of electricity

201 The value of $ALCC_{SHS}$ is obtained by dividing LCC_{SHS} with the cumulative present worth factor [41].

$$202 \quad ALCC_{SHS} = LCC \left\{ \frac{1-x}{1-x^N} \right\} \quad (8)$$

203 The unit cost of electricity is obtained by dividing the $ALCC_{SHS}$ by the kWh (load) [41].

204 Therefore,

$$205 \quad UCE_{SHS} = \frac{ALCC_{SHS}}{E - User(kWh / year)} \quad (9)$$

206 3.2.2 Analysis of LCC, ALCC and UCE for the standard, optimized and overloaded system

207 The analysis of LCC involves knowledge of the battery life (since the battery is the most replaceable
 208 component of the SHS), the average SOC and DOD of the system. The cost data for this analysis were
 209 derived from Table 1.

210 **Table 1:** Cost analysis for SHS components

Item	Cost
Solar panel	\$5/W[43, 44]
Battery	\$3.6/Ah [45]
Current (I_{sc})	4.8 Amp [39]
Charge controller	\$6.2/A [46]
Installation	10% of SHS cost [21, 47]
Maintenance/Operation/Year	2% of SHS cost [21, 47]
Bench-Rack	\$22.2 at foreign exchange of 9.0 ZAR/\$1.0)

211
 212
 213 3.2.3 Assumptions made for the calculations

214 The life time of the SHS components except the battery is assumed to be 25 years [44]: There is no inverter
 215 cost: The cost of maintenance is 2 % of investment cost [21]: The inflation rate (i) is 3%, and the discount
 216 rate (d) is 10% [21, 41]: A temperature (T) constant of 25°C is used for the battery [48]: Only the battery
 217 needs replacement during the assumed lifetime of SHS.

219 **4. Results**

220 This section starts with investigation of the optimal tilt for Thlatlaganya village in Polokwane municipality
221 in Limpopo province of South Africa. The optimal tilt occurred at the highest energy output of the system.
222 This is followed by LOLP which indicates the extent of reliability of power and the effect of reduced power
223 generation and overload on the battery system as indicated by the SOC. The section is concluded with the
224 presentation of the result of economic analysis.

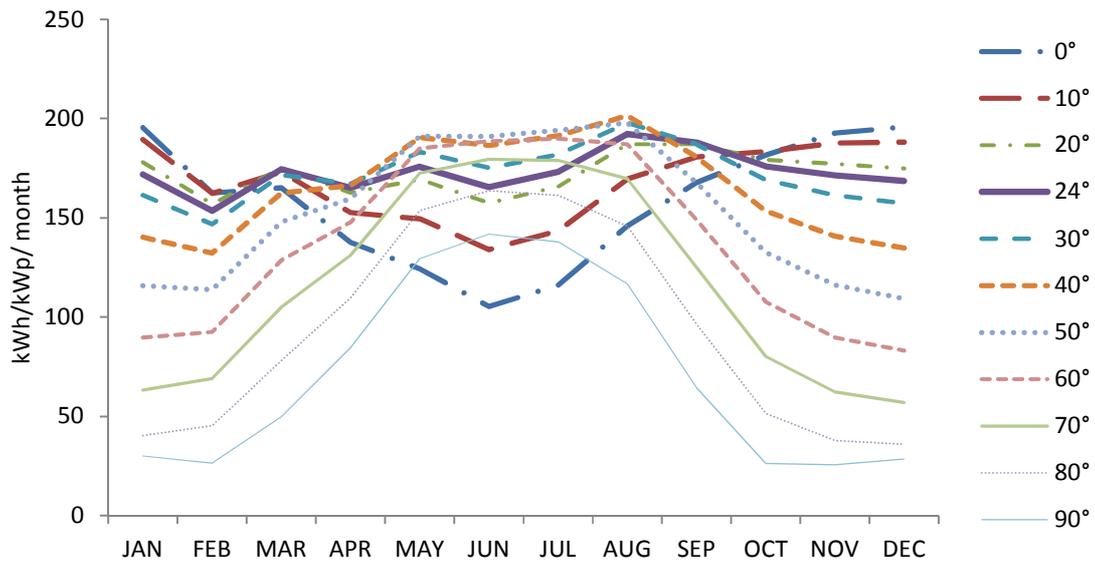
225 *4.1 Technical analysis*

226 In the technical analysis the results of the optimal tilt, seasonal tilt angles, energy output of SHS, daily load
227 demand for the standard, optimized and overloaded systems, the LOLP, the estimated life expectancy of the
228 battery, and the SOCs of the systems are presented in this subsection.

229 *4.1.1 Optimal tilt angle for Thlatlaganya village*

230 The study of the optimal tilt angle using the fixed tilt plane geometry for SHS operating in Thlatlaganya
231 Village in Polokwane indicates that the highest energy output occurred at 24° tilt as represented in Fig. 2.
232 A SHS system operating in this locality in the southern hemisphere will operate optimally at 24° tilt towards
233 north. The optimal tilt (β) angle obtained in this investigation is almost the same as the latitude ($\theta = 23.87^\circ$)
234 of Polokwane municipality. Therefore, 24° is used as an approximation for the latitude in this study to
235 simplify calculations.

236 Fixed tilt geometry is normally employed for SHS operation due to its simplicity and cost justification.
237 Tracking geometries such as two axis tracking, North-South tracking and vertical axis tracking etc., have
238 been found to improve power output of solar panels. However, due to their technical complexity and
239 overhead costs, the fixed tilt system is the preferred option for low income rural households.



240

241 Fig.2. PV power output at different tilts angles

242 *4.1.2 PV energy output at 0°, 24° and 14°/34° tilt*

243 Three different fixed tilt positions were used to investigate the effect of tilt angle on the energy output of
 244 SHSs for Polokwane municipality, the fixed tilted plane at 0°: the optimal tilt at 24°: and seasonal tilts at
 245 14° for summer and 34° for winter. The results show the global irradiation, the beam irradiation and the PV-
 246 module energy output at different angles of tilt. The performance of the PV module indicates that the highest
 247 energy output occurs at 24° tilt for the fixed system as shown in Table 2. The fixed tilt non-tracking system
 248 provided an energy output of 1891 kWh/kW_p/year at 0° tilt angle. At 24° tilt the energy output is 2076
 249 kWh/kW_p/year, and at the seasonal tilt (14° for summer and 34° for winter) the energy output is 2147
 250 kWh/kW_p/year. There is therefore 1.10 gain representing 10% increase in the PV energy output at 24°
 251 compared to the horizontal position, at seasonal tilt the gain increased further to 1.14 (14%) as shown in
 252 Table 2. This is an improvement of 4% over the 24° tilt system.

253

254

255

256 **Table 2:** Solar panel performance result for different geometries
 257

Tracking Geometry	Beam Irradiation (kWh/m ² /year)	Global Irradiation (kWh/m ² /year)	Irradiation (kWh/kW _p /year)	PV-Energy (kWh/kW _p /year)	Energy Gained
Fixed tilt at 0°	1445	2127		1891	1
Fixed tilt at 24°	1597	2295		2076	1.1
Seasonal tilt at 14°/34°	1640	2359		2147	1.14

258
 259 The daily load demand and the optimal angle achieved in the investigation are shown in Table 3. This data
 260 formed the basis of the economic analysis in the following section.

261 Responses from the households interviewed during the survey indicate that the standard practice in
 262 communities affected by solar panel theft is to dismount the solar panels from roofs and poles and place
 263 them on the ground at 0° tilt. Lights are used for 5 hours per day, TV is used for 5 hours per day, and other
 264 domestic appliances like cell phone chargers and radio are used for a combined 8 hours per day. The
 265 kWh/day figure is obtained by multiplying the usage hours by the load-units. The daily energy demand for
 266 the standard system is thus 0.543 kWh/day as shown in Table 3: Optimizing the system revealed that, the
 267 capacity of the installed SHS can only support power for 3 hours of lighting, 3 hours of TV, and a combined
 268 4 hours of radio and cell phones charging, making the daily energy demand is 0.302 kWh/day. When the
 269 lights were used for extended periods in the overloaded system, the daily demand increased to 1.022
 270 kWh/day.

271 **Table 3:** The daily energy demand and the tilt angles for the three systems
 272

	Standard system	Optimized system	Overloaded system
Light usage (hour)	5	3	17
TV usage (hour)	5	3	5
Radio and Phone (hour)	8.2	4	10
Tilt angle (degree)	0°	14°/34°	0°
Load (kWh/day)	0.543	0.302	1.022

273 The power rating for CFL bulbs in use is 9 W and the number of units is 4, the DC-TV is 30 W and the number of
 274 units is 1, the Radio and the Phone chargers cumulatively is rated 26 W, representing 20 W for 1 radio unit and 3W
 275 each for the 2 phone chargers per household.

276
 277
 278 However, PVSYST solar software recommends that to operate the SHS under the standard condition and
 279 overloaded condition the size of the SHS needs to be increased as shown in Table 4.

280

281 **Table 4:** PVSYST Optimization specifications for the standard, optimized and overloaded systems
 282
 283

Systems	Panel size (W_P)	Battery size(Ah)	Battery Voltage (V)	Load (kWh)
Standard	114	150	12	0.543
Optimized	75	100	12	0.302
Overloaded	214	283	12	1.022

284
 285
 286 *4.2 Loss of load probability*

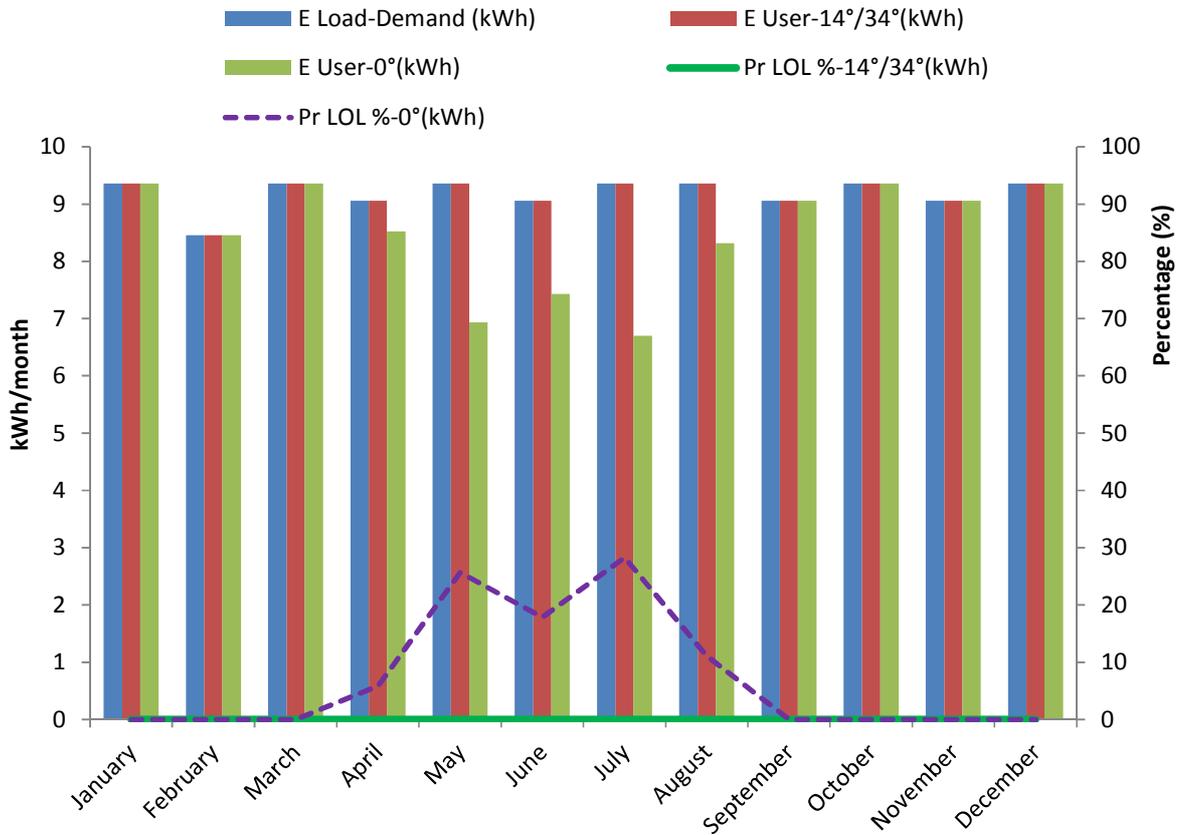
287 *4.2.1 Reduction of the LOLP for optimized system at 0° tilt with 14°/34° tilts*

288 LOLP is used to indicate the possibility of power outages as a result of inadequate supply of energy by the
 289 SHS. In the optimized system, the energy supply balances the energy demand, i.e. both the energy demand
 290 and supply are 110 kWh/year. This is represented by E-Load (energy need of the household) and E-User
 291 (energy available to the household). During the seasonal tilt the LOLP is 0%, as shown in Table 5, but at 0°
 292 tilt the LOLP increases to 7.5% as shown in Fig. 3. The energy delivered to the load under this condition
 293 decreases to 102 kWh/year. The LOLP suggests that under this condition outages may occur for 657 hours
 294 out of the 8760 hours of the year.

295 **Table 5:** Load balance and battery performance of the systems
 296

	Standard system	Optimized system	Overloaded system
E-Load (kWh/year)	198.27	110.23	373.03
E-User (kWh/year)	105.44	110.23	99.72
E-Miss (kWh/year)	92.83	0	273.31
LOL probability (%)	46.6	0	70.5

297 E-Load is the energy need of the user, E-User is the energy supplied to the user and E-Miss is the energy mismatch
 298 between the need and supply.
 299



300

301 Fig. 3. The impact of seasonal tilt on LOLP of the optimized system at 0° tilt

302 *4.2.2 Reduction of the LOLP of the standard system at 0° with 14°/34° tilts*

303 LOLP for the standard system at 0° tilt is 46.6%, indicated by the dotted purple line in Fig.5. Operating the

304 system according to the standard practice demands 198 kWh/year of energy from the system, but the system

305 is able to supplied 105 kWh/year of energy. Under this condition there is an energy deficit for 4081 hours

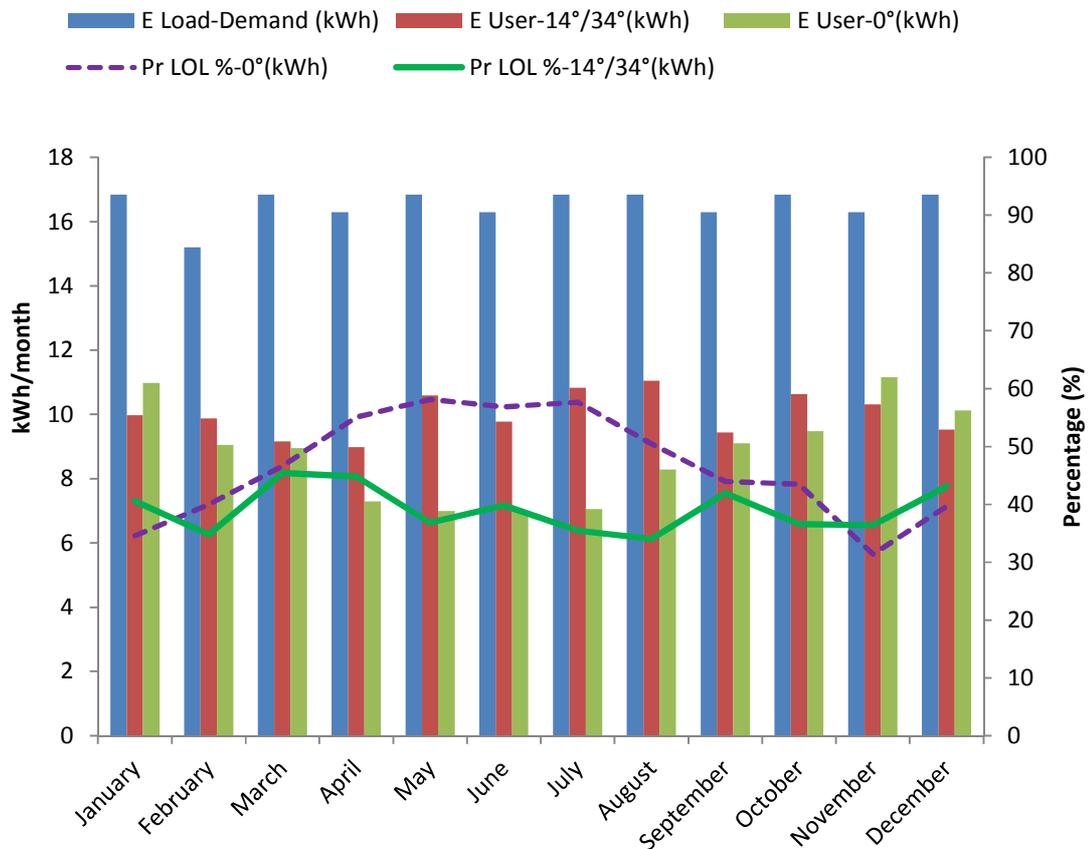
306 out of 8760 hours in a year. When tilted at 14°/34° (green line Fig. 4), there is an increase in the supply to

307 120 kWh/year, and outage hours are reduced to 3434 hours at a LOLP of 39.2%, representing 7.4% increase

308 on the ability of the system to withstand the load.

309

310



311

312 Fig. 4. The impact of seasonal tilt on LOLP for the standard system at 0° tilt

313 *4.2.3 Reduction of the LOLP of overloaded system at 24° with 14°/34° tilts*

314 The overloaded system showed a reduced ability to meet the load. The LOLP rose to an average of 70.5%

315 when tilted at 24°, as indicated by the yellow line in Fig. 5, and 69.2% when tilted at 14°/34°, representing

316 1.3% increase, as shown by the green line in Fig. 5. Expected outage under these conditions is 6176 hours

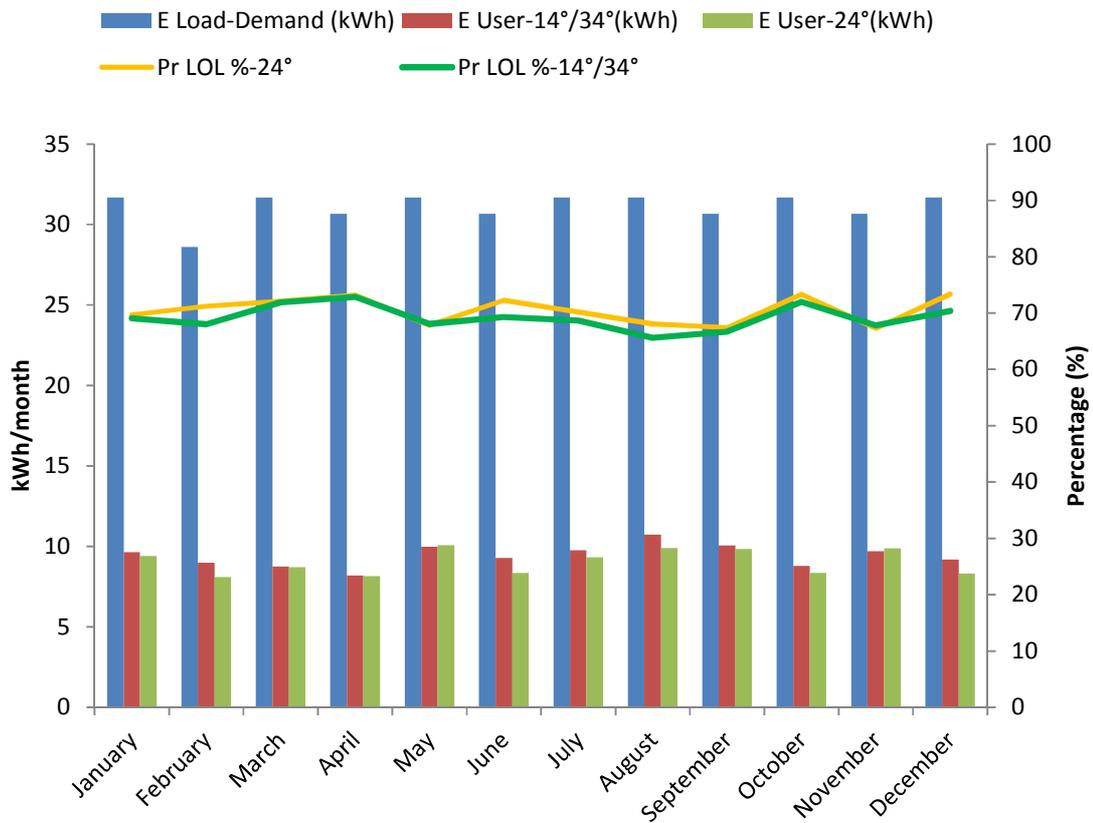
317 at 24° tilt and 6062 hours at 14°/34° tilt, out of 8760 hours in a year. 373 kWh/year of energy is demanded

318 from the system in both cases. Using 24° tilt about 100 kWh/year of energy is supplied to the load, and at

319 14°/34° tilt 108 kWh/year is supplied. The small reduction in LOLP shows the inability of the seasonal tilt

320 to reduce loss of load on the overloaded system. This indicates that the system is overstretched beyond the

321 limit of its capabilities.



322

323 Fig. 5 The impact of seasonal tilt on LOLP of overloaded system at 24° tilt

324 *4.3 The impact of non-optimal tilt and overload of SHS on the SOC of the battery System*

325 In this section the result of the effect of reduction of energy input and the overload of SHS on the battery
 326 unit is presented.

327 *4.3.1 Comparison of the SOC of optimized, standard and overloaded systems*

328 The investigation of the SOC for the optimized system indicates that the average SOC for the system is 86%
 329 giving a DOD of 14 %, indicated by the green line in Fig. 6. The SOC for the overloaded system is 48%
 330 and the DOD is 52 %, shown by the yellow line in Fig. 6. The average SOC of the standard system is 50 %
 331 and the DOD is also 50 %, as shown by the purple dotted line in Fig. 6. The low voltage disconnect function
 332 of the control unit disconnects the supply at about 25% SOC interrupting the discharge process until the

333 battery charge reaches 75 % SOC, when the battery is reconnected to the load. This process determines the
 334 frequency of the oscillations in Fig.7. It takes about 2-3 days for the charging of the battery to reach this
 335 threshold (personal communication with Solar Vision technicians). The high frequency and the reduced
 336 amplitude of oscillations seen in both the standard and the overloaded systems indicate that the battery
 337 system is under stress. This effect is most evident in the overloaded system. The load in both cases is above
 338 the capacity of the battery, and more energy is needed to meet the load. Operating the SHS under this
 339 condition will have a negative effect on the performance of the battery.

340 Fig. 6 SOC for the optimized, standard and the overloaded systems

341 4.3.2 Estimation of the life expectancy of the battery

342 The estimated battery lives for the three system loads were calculated using equation (2) above. Under the
 343 standard and overloaded conditions, the expected battery life is approximately 6 years and 5 years
 344 respectively. Under the optimized condition the expected battery life increases two fold to 10 years
 345 approximately (see Table 6).

346

347 **Table 6:** The SOC, DOD and the battery life expectancy for the investigated systems

System	SOC (%)	DOD (%)	Bat _{lifecycle} (year)	No of replacements	Bat _{remlife} (year)
Standard	50	50	6	4	1
Overloaded	48	52	5	4	0
Optimized	86	14	10	2	5

348

349

350 4.4 Economic Analysis

351 Using the component's costs information from Table 1 the C_{SOLP} , C_{Bat} and C_{CC} for a SHS with ($75 W_p$,
 352 $100 Ah$ and $12V$ battery) configuration is calculated to be \$375, \$360 and \$29.76 respectively. Substituting
 353 these values in equation 4 and 7, C_{SHS} and PW_{CM} is calculated to be \$764.76 and \$15.30 respectively. The
 354 economic analysis of the three systems shows that the LCC of the SHS is reduced significantly with the
 355 optimization of the system, as shown in Table 7. The LCC is reduced from \$1699.44 and \$1551.66 for the

356 overloaded and standard systems respectively to \$1257.72 for the optimized system, representing 26% and
 357 19% reductions respectively. The UCE is also reduced from \$1.16/kWh and \$1.34/kWh respectively for the
 358 standard and overloaded systems to \$0.90/kWh for the optimized system. This represents a reduction of
 359 22% and 33% from the standard and overloaded systems respectively. The ALCC is also reduced from
 360 \$122.27 and \$134.03 for the standard and overloaded systems to \$99.19 for the optimized system,
 361 representing a reduction of 19% and 26% respectively.

362 **Table 7:** Results of the economic analysis showing the LCC, ALCC and UCE

System	LCC (\$)	ALCC (\$)	UCE (\$/kWh)	SPW _{Bat} (\$)
Standard	1551.66	122.37	1.16	61.91
Overloaded	1699.44	134.03	1.34	0
Optimized	1257.72	99.19	0.90	48.32

363

364 5. Discussion

365 The findings presented here showed that the optimal tilt angle for a SHS operating in Thlatlaganya village
 366 according to the energy output is 24°. This supports the argument of [14] that optimal tilt angles at locations
 367 in the southern hemisphere are close to their latitude. The results also show that there is a gain of 10 % in
 368 the energy output when the system is adjusted from 0° tilt to the 24°optimal tilt, and a gain of 14 % is
 369 achieved by adjusting the solar panel seasonally to a tilt angle of 14° in summer and 34° in winter. Therefore,
 370 operating the system at a 0° tilt angle, which is a common practice in the study area, reduces the performance
 371 and power generating capacity of the SHS. These result are also in agreement with [13] who concluded that,
 372 operating the SHS at 0° makes it more vulnerable to negative environmental effects, which reduce the energy
 373 output of the system.

374 The analysis shows that the present methods adopted to provide security for SHS operating in South Africa
 375 have a negative effect on the performance of the system. It also points to the need for user education on the

376 optimal use and operational guidelines of SHS, which is necessary for improving the performance and
377 energy output of the system.

378 Based on the findings from interviews with SHS users the average household uses their systems for 5 hours
379 of lighting, 5 hours of TV and a combined 8 hours of radio and cell phone charging. However, optimization
380 through right sizing of the load shows that the design and install capacity of the SHS ($75 W_p$ PV, and the
381 $100 Ah$, 12V battery unit) can only sustain reliable energy supply for 3 hours of light, 3 hours of TV, and
382 a combined 4 hours of radio and cell phone charging. Optimization of the standard and overloaded systems
383 using PVSYST solar software indicates that, to have a reliable energy supply under the standard system the
384 capacity of the SHS should be increased to ($114 W_p$, 150Ah, 12V battery), and the right capacity for the
385 overloaded system is ($214 W_p$, 283 Ah, 12V battery). Therefore, operating the system in the standard and
386 overloaded condition without right sizing the system creates excessive energy demands on the system. This
387 is indicated by the high levels of loss of power supply indicated by the LOLP over the year as shown in
388 section 4.2. Operating the SHS under the standard and overloaded conditions, results in loss of power to the
389 load for 4081 hours and 6176 hours respectively out of 8760 hours in a year. Optimizing SHS operation
390 ensures uninterrupted power supply to the load. This effect is more pronounced when the seasonal tilt is
391 used on the standard system, in which case the probability of loss of power supply to the load is reduced by
392 7.4%. When the optimized system is tilted at 0° the loss of load probability rises from zero to 7.5%, and
393 when the overloaded system is operated using seasonal tilt, the ability of the seasonal tilt to sustain energy
394 in the system is reduced to 1.3%. This shows that as more energy is demanded from the SHS the ability of
395 the system to sustain energy delivery to the load decreases. If the user is not informed regarding the power
396 limitations, correct usage of the SHS, and the consequences of drawing excessive energy from the system,
397 then there is no incentive for them to limit their power consumption in line with the optimized system.

398
399 In spite of the small generating capacity of the SHS, users' usage pattern exacerbates the situation. Although
400 the users are to blame to some extent, they are forced to take additional measures to safe guard their systems

401 due to the failure of the government to perform its statutory duty. Most designs applied to mitigate solar
402 panel theft have met with little success [49]. Therefore, much of the blames should be laid at the doorstep
403 of the government and energy services providers for alienating users from the program. The users of the
404 equipment need to be carried along with the program through creation of awareness on the operation of the
405 systems, in addition the government needs to provide adequate security to protect the lives and properties
406 of the off-grid rural population.

407 The results presented here indicate that the usage pattern of the battery affects its life span. The calculated
408 life expectancy for the optimized system shows that the battery can last for about ten years if used correctly.
409 The life expectancy of the optimized system increases in about two fold when compared with the standard
410 and overloaded systems. Frequent discharge of batteries accompanied by inadequate energy generation from
411 the solar panel is responsible for the reduced life expectancy of the batteries in the standard and overloaded
412 systems. The reduction in generating capacity of the solar panel and loads beyond the capacity of the SHS
413 also have a negative effect on the SOC and DOD for the standard and overloaded systems, also affecting
414 the battery life. The results from the survey show that the average lifetime of the batteries is even lower
415 than the results obtained here. This is because our investigation is based on the 75 W_p SHS which has
416 control units, with automatic controls for the charging and discharging processes, which help to increase the
417 battery life to about 5 years in the standard and the overloaded systems. However, some of the old systems
418 mostly 50 W_p SHS currently in use in South Africa do not have automatic control functions. As a
419 consequence most batteries are frequently discharged. The proximity of the SOC and life expectancies for
420 the standard and overloaded systems is due to the control function which prevents full discharge. Moreover,
421 the overloaded system is at the optimal tilt albeit with a bigger load, while the standard system is at 0° tilt,
422 with less energy but with a smaller load, so the impact of increased load is more pronounced in the loss of
423 power supply to the load (represented by LOLP) as shown in Figs. 4- 5. Data from the energy service
424 providers indicate that in practice the battery life of these systems is between 2-3 years. According to the
425 management staff of Kwazulu Energy Services Company, between 25-30% of all the batteries are replaced
426 annually due to incorrect use and abuses such as bypassing of charge controller to obtain electricity direct

427 from the battery and connection of cheap non-sine wave inverters that drains excessive energy from the
428 battery.

429 The economic analysis indicates increased overhead cost of the SHS as a result of overutilization and
430 placement of the panels at the non-optimal tilt. Using the system in the overloaded and standard conditions
431 has negative impacts on the LCC, the ALCC and the UCE. The economic cost of the optimized SHS is
432 lower than those of the standard and the overloaded systems. The UCE is reduced by 22%-33% when the
433 operation of the SHS is optimized compared to the standard and the overloaded systems.

434 This analysis explains the link between the operation of SHS and the economic losses. The current usage
435 pattern reduces the energy output and reliability of the system, which in turn reduces the SOC of the batteries
436 with a corresponding increase in their DOD. This leads to a reduction in the life time of the battery, which
437 also increases the LCC, ALCC and the UCE. The reduction in battery life means that more batteries have
438 to be replaced within the life cycle of the SHS. This ultimately adds to the financial burden of the energy
439 services providers, who are currently grappling with the issues of non-payment of Electricity Basic Services
440 Support Tariff (EBSST) subsidies and service charges by the municipalities and households respectively.
441 This situation affects the sustainability of the SHS program in South Africa and may be one of the reasons
442 why some of the energy services providers have withdrawn from the program. The results support the views
443 of [29] on the need to carry the locals along with the project. The energy service providers are faced with
444 these losses due to their failure to carry the locals along with the SHS program.

445 *5.1 Recommendation*

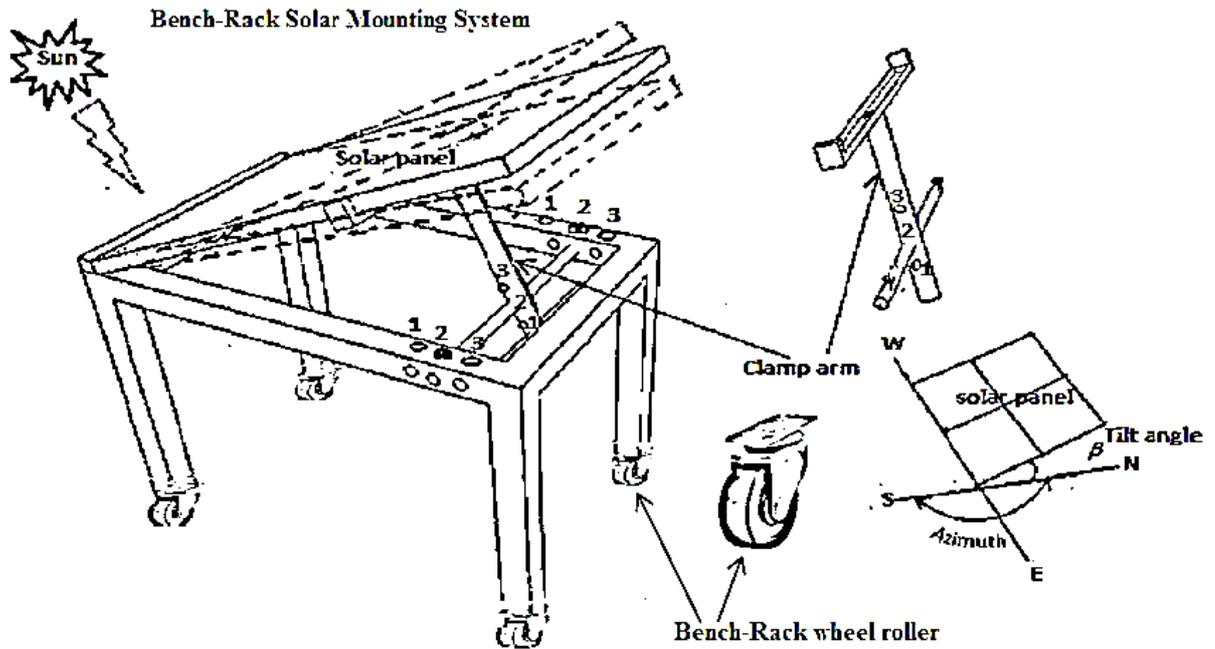
446 Solar panels are being used at a non-optimal angle in the study area due to the risk of theft. Various methods
447 have been adopted to curb the incidence of solar panel theft with little or no success. Responses from
448 households and the relevant staff of the energy services providers (Solar Vision, NuRa Energy and Kwazulu
449 Energy Services) indicate that the use of community vigilante groups for community policing and the
450 involvement of the police have not been effective because police posts are located at the municipal and
451 district headquarters and maintenance of the vigilante group is difficult. Technical solutions like the use of

452 radio frequency identifier (RFID) and integration of alarm systems to the panels have been proposed in past.
453 According to NuRa Energy, these systems cost between 400 and 600 ZAR per installation and need
454 maintenance and replacement of parts over time; this cost is too high for most of the SHS users. In addition,
455 RFIDs do not prevent solar panel theft but only assist in the recovery. This situation also reflects the
456 observation of [49] that various efforts to curb theft of solar panels have been largely ineffective.
457 Information from victims indicates that 80-90% of thefts occur at night, and most others occur when owners
458 are not at home. Therefore, the habit of taking the panels indoors at night will reduce the incidences of theft
459 considerably.

460 *5.1.1 Proposed benchrack mounting system for solar home systems*

461 This study recommends a cheap method for the optimization of SHS operation in order to mitigate the losses
462 associated with these practices, by using a Bench-Rack Solar Panel Mounting System. The Bench-Rack is
463 a mobile system that can be taken indoors at night for safe keeping, thereby reducing the possibility of theft.
464 Spurred by the above enumerated advantages evident with the optimization of SHS operation and the
465 realization of the low income level of the households using solar home systems in developing countries, we
466 propose the Bench-Rack Solar Panel Mounting System to help households reduce losses associated with the
467 operation of their SHS by making it easy to operate in the most cost effective manner while maintaining
468 security. The Bench-Rack system is designed to allow the solar panel to operate at the optimal tilt angle (β)
469 as well as seasonal tilt angles for summer and winter. Fig. 7 shows the system set in position 2, at the optimal
470 tilt angle (β). The clamp arm can be adjusted to a tilt of $(\theta-10)$ for summer (October-March) by moving to
471 position 3. During winter (April-September) it can be adjusted to a tilt angle of $(\theta+10)$ by moving it to
472 position 1. The advantage of the Bench-Rack system is its simplicity; all parts are made of wood and are
473 detachable for easy movement. In line with the new security measures in place, it can be taken indoors at
474 night for safe keeping. It is therefore adaptable to the current operating condition of SHS in the vulnerable
475 areas of South Africa. The use of the Bench-Rack mounting system is intended to optimize the operation of
476 SHS by reducing losses associated with the current practice of placing the system on the ground at 0° tilt

477 angle. It also addresses the issue of overloading the system by operating security lights for extended hours,
478 since the system is moved indoors at night for protection against theft, negating the need to use lights for
479 extended hours.



480

481 Fig. 7 Bench-Rack systems showing various positions for seasonal and optimal tilts for solar panels.

482 Integrating the Bench-Rack mounting system will have little economic impact on the SHS program. The
483 estimated cost of the Bench-Rack System is \$31.8 USD (using foreign exchange of 1USD to 9 ZAR). The
484 economic benefit of Bench-Rack system to the SHS program is as follows. The LCC, ALCC and UCE are
485 calculated to be \$1289.52, \$101.70 and \$0.92/kWh respectively. The inclusion of the Bench-Rack mounting
486 system only accounts for 2% increase in UCE, and about 3% increase in both the LCC and ALCC for the
487 optimized system. Therefore, the system provides a cost effective way to achieve both the optimal and
488 seasonal tilt angles with their inherent advantages, thereby maintaining the integrity of the energy supply
489 with limited financial burden on the household.

490

491

492 **6. Conclusion**

493 This study has shown that the use of non-optimal tilt angle for solar panels, and the use of outside lights as
494 security light for extended hours to protect SHS against theft have negative consequences on the power
495 output and performance of the system. The energy losses associated with these practices affects the
496 sustainability of SHS program by increasing the overhead cost of the systems. Also the analysis of the
497 reliability of the energy from SHS currently in use in rural South Africa revealed that its undersized, to meet
498 the energy needs of the households the current capacity of the system should be increased.

499 The use of lights for extended hours overloads the SHS, and placing the solar panels flat on the ground at a
500 non-optimal angle reduces the energy generation capacity. Both actions affect the state of charge of the
501 battery negatively and ultimately degrade the reliability and quality of the power supply. Optimizing the
502 operation of the system can extend the battery life in more than two fold. The economics of owning and
503 operating SHS can be improved when the system is used according to the designed specifications.
504 Optimizing the use of SHS results in a reduction of about 19-26% in the life cycle cost of SHS. In addition,
505 the unit cost of electricity is reduced by 22-33% in households that place their solar panels flat on the ground
506 and those that use the lights for extended hours respectively. The annualized life cycle cost is also decreased
507 by about 23% on the average.

508 The need to protect solar panels from theft and more importantly the overarching need to meet basic energy
509 needs are motivations for an uninformed user to keep overloading and abusing the SHS. To reduce these
510 deviations from optimal usage, we recommend the optimization of the SHS as demonstrated by the use of
511 the Bench-Rack solar mounting system and the adoption of energy efficient measures for protecting SHS
512 operations in vulnerable regions of South Africa. In addition, the government needs to put more effort in
513 securing the lives and properties of the rural population in South Africa. Education of users in SHS usage
514 pattern and training of local technicians in minor maintenance routines are essential. Training of local
515 technicians will contribute to local job creation over time and reduce power outages from the systems. Our

516 economic analysis shows that the price of not carrying locals along with the program outweighs the
517 alternative; the stakeholders will pay more through frequent replacement of equipment.

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