An Approach to Multi-Disciplinary Aero Engine Conceptual Design

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ABSTRACT
Various aspects of a multi-disciplinary aero engine conceptual design approach and computational framework are presented. The approach closely integrates different disciplines and is capable of homing in on the best designs in the presence of techno-economic and environmental risk. The framework can assist in the transition from the traditional, human-centered design procedure that involves complex manual iterations, to a more automated process. It considers the following disciplines: engine performance, engine aerodynamic and mechanical design, aircraft design and aerodynamic performance, emissions prediction and environmental impact, engine and airframe noise, and production, maintenance and direct operating costs. The proposed explicit conceptual design algorithm reduces system complexity, improves computational speed and can make design space exploration and optimisation results easier to interpret. Through a good set of constraints, it will also give an optimal aero engine conceptual design that may prove feasible in terms of major engine certification and customer requirements. The power of the proposed conceptual design approach has been demonstrated through a variety of unique case studies. These range from technology assessment and design sensitivity analysis to design space exploration and optimization. Overall, the work sets the necessary base for an assessment methodology that can quantify risks and assess the impact of gas turbine design on the environment, by comparing and helping to rank future technologies and design concepts for civil aviation on a formal and consistent basis.

Keywords: Conceptual Design; Engine Performance; System Analysis.
**NOMENCLATURE**

- **A18**  
  bypass nozzle geometric area [m²]
- **A8**  
  core nozzle geometric area [m²]
- **Alt**  
  flight altitude [m]
- **BPR**  
  bypass ratio [-]
- **dP26Q25**  
  intercooler hot stream fractional pressure loss [-]
- **dP308Q307**  
  recuperator cold stream fractional pressure loss [-]
- **D_pNO_x/No**  
  NOₓ certification parameter [g/kN]
- **EPNL**  
  effective perceived noise level [dB]
- **ETA13**  
  fan bypass isentropic efficiency [-]
- **ETA23**  
  fan core isentropic efficiency [-]
- **ETA25**  
  intermediate pressure compressor isentropic efficiency [-]
- **F_N**  
  net thrust [kN]
- **FL**  
  flight level [100ft]
- **M**  
  Mach number [-]
- **OPR**  
  overall pressure ratio [-]
- **P13**  
  bypass duct entry total pressure [kPa]
- **P24**  
  intermediate pressure compressor entry total pressure [kPa]
- **P26**  
  high pressure compressor entry total pressure [kPa]
- **P41**  
  high pressure turbine rotor entry total pressure [kPa]
- **P44**  
  intermediate pressure turbine rotor entry total pressure [kPa]
- **P46**  
  low pressure turbine rotor entry total pressure [kPa]
- **P13Q2**  
  fan bypass pressure ratio [-]
- **P23Q2**  
  fan core pressure ratio [-]
- **P25Q24**  
  intermediate pressure compressor pressure ratio [-]
- **P3Q26**  
  high pressure compressor pressure ratio [-]
- **SFC**  
  specific fuel consumption [g/(kN*s)]
- **SFN**  
  specific thrust [m/s]
- **T13**  
  bypass duct entry total temperature [K]
- **T24**  
  intermediate pressure compressor entry total temperature [K]
- **T26**  
  high pressure compressor entry total temperature [K]
- **T41**  
  high pressure turbine rotor entry total temperature [K]
- **T44**  
  intermediate pressure turbine rotor entry total temperature [K]
- **T46**  
  low pressure turbine rotor entry total temperature [K]
- **T26D25**  
  intercooler hot stream temperature drop [K]
- **T308D307**  
  recuperator cold stream temperature rise [K]
- **W132Q25**  
  intercooler mass flow ratio [kg/s]
- **W2**  
  intake mass flow rate [kg/s]
- **W24**  
  intermediate pressure compressor entry mass flow rate [kg/s]
- **W26**  
  high pressure compressor entry mass flow rate [kg/s]
- **Wf**  
  combustor fuel mass flow rate [kg/s]
1.0 INTRODUCTION

1.1 Conceptual design tools - a brief review

It can be argued that the current state of the art in multidisciplinary engine simulation tools is represented by an extended suite of tools comprising of: NPSS (Numerical Propulsion System Simulation), WATE (Weight Analysis of Turbine Engines), FLOPS (FLight OPtimization System), and ANOPP (Aircraft Noise Prediction Program). As described by Claus et al. (7) and Lytle (49), NPSS can tackle different levels of modelling fidelity, from simple thermodynamic cycle calculations to full 3D whole-engine CFD (Computational Fluid Dynamics) simulations. WATE (62,72,73) is an object-oriented computer code that can be used to predict the dimensions and weight of different gas turbine engine configurations at component level, based on cycle parameters from NPSS. FLOPS (52) is an aircraft conceptual design code that can be used for aircraft sizing and mission analysis using information from WATE and NPSS. ANNOP (27) is an engine and airframe noise prediction code that can predict certification noise levels and noise power distance curves, based on aircraft dimensions from FLOPS and engine information from NPSS and WATE. Several successful attempts have been made to integrate these codes together and produce engine design results at aircraft system level; for some of the most recent efforts the interested reader can refer to Antoine et al. (2) and Mercer et al. (53).

The EDS (Environmental Design Space) tool is an interesting derivative of the above mentioned development. The tool consists essentially of an integration of the NPSS, WATE, FLOPS and ANNOP codes and various emissions predictions methodologies. EDS provides the capability to estimate source noise, exhaust emissions, and performance for potential future aircraft designs under different policy and technological scenarios. EDS is part of a larger suite of tools known as ETS developed with the aim of better understanding the relationship between noise and different types of exhaust emissions, as well as providing the cost benefit analysis capability necessary for data-driven decision making with respect to long term and global legislation (6).

Genesis is a gas turbine aerodynamic and mechanical design tool that can be used to define the basic engine geometry, as well as predict engine weight and cost using correlations based on an actual manufacturers engine database. A preliminary design process for military engines that utilises a hybrid combination of Genesis, and the gas turbine performance tool RRAP (Rolls-Royce Aerothermal Performance) as well as other codes is presented in Jones et al. (23). The tool developed can be used to quickly define and refine gas turbines engines within a design procedure that considers engine performance attributes as well as Through Life Costs (TLC).

Another interesting software package for the preliminary design of airborne and stationary gas turbines is MOPEDS (MOdular Performance and Engine Design System) and is described in detail by Jeschke et al. (22). The tool can perform multi-disciplinary and multi-point analysis considering all major gas turbine engine components and their interrelations. The transition from the preliminary design phase to the detailed design phase is also handled by the system, with preliminary design results being transferred to higher fidelity 1D and 2D models for detailed component design.

The GISMO software, as described by Avellan and Grönstedt (4), is a generic simulation and modelling environment for conceptual design and analysis of aircraft and engines. Engine performance and weight predictions are first carried out with the GeSTPAn (General

Stationary and Transient Propulsion Analysis) code\textsuperscript{(16)}, and the results are then transferred to the aircraft design modules for further analysis; this is an iterative process, with the engine and aircraft being redesigned in every loop, and is repeated until all the aircraft performance requirements set are satisfied.

The next three codes discussed are not fully generalised aero engine conceptual design tools. Nevertheless, they are outstanding tools and do consider important aspects of engine and aircraft conceptual design:

GasTurb\textsuperscript{*} is a user-friendly gas turbine performance simulation code that can evaluate the thermodynamic cycle of a predefined set of engine architectures, both at design and off-design. Recent additions to the program allow the preliminary geometrical design of a gas turbine engine including disc stress calculations.

PIANO\textsuperscript{†} (Project Interactive ANalysis and Optimisation) is a user-friendly aircraft preliminary design and analysis tool. It can be used to design and predict the performance of conventional aircraft configurations including emissions and costs.

GSP\textsuperscript{‡} (Gas turbine Simulation Program) is a flexible object-oriented tool for gas turbine engine performance analysis\textsuperscript{(77)}. Additions to the code presented by Shakariyants et al.\textsuperscript{(68,69)} have extended the tool’s capabilities to in-flight exhaust emission studies, while work by Montella and van Buijtenen\textsuperscript{(55)} has allowed the evaluation of the impact of component design on engine overall performance.

1.2 Conceptual design tools - lessons learned

The aero engine industry is in constant search for more efficient and environmentally friendly power plants. Along with a continued progress in air traffic management, aircraft structures and aerodynamics, lighter and more efficient engines are being projected. Current and future engine noise and emission certification requirements make the search for optimal engines truly multidisciplinary. Decision making on optimal engine cycle selection has to consider mission fuel burnt, operating cost, engine and airframe noise and environmental impact.

As discussed by Kyprianidis et al.\textsuperscript{(32)}, a Techno-economic, Environmental and Risk Assessment (TERA) approach during the conceptual and preliminary design process for complex mechanical systems is an affordable, and hence, feasible way of producing optimized and sound designs, if the whole spectrum of possible impacts (economic, environmental etc.) is to be taken into account. A tool following the TERA - or another similar - approach is required to conceive and assess engine designs with minimum environmental impact and lowest cost of ownership in a variety of emissions legislation scenarios, emissions taxation policies, fiscal and air traffic management environments.

Each of the conceptual design methods presented in this review has its’ merits and shortfalls. Unnecessary nested loops/iterations in the conceptual design algorithms are often encountered which increases system complexity and reduces computational speed while lack of code modularity - present in some of them - affects the system’s maintainability and extendability. More importantly, most codes fail to consider one or more important disciplines for engine conceptual design, which can severely hinder the degree of realism during design space exploration. Furthermore, the level of fidelity used for considering each discipline may


\textsuperscript{†} Lissys Ltd., http://www.lissys.demon.co.uk.

sometimes be unnecessarily unbalanced i.e., low order codes being linked with high resolution codes upstream or downstream in the conceptual design procedure. Finally, although some components of the conceptual design process have been presented in the past, there is no holistic and detailed presentation in the open literature of a conceptual design algorithm coupled with realistic case studies. Whilst it is likely that such knowledge is available in a handful of specialist teams in industry, it is of value to be presented at this level of detail to the wider academic research community.

The aim of this paper is to present a novel approach and computational framework for multi-disciplinary aero engine conceptual design, and demonstrate with relevant case studies its capability for assessing future technologies and engine configurations.

The specific research questions to be addressed are:

- What benefits can a multi-disciplinary approach offer for the design optimization of novel engine concepts?
- Can the suggested level of detail prove sufficient for design optimization?
- Can new insights be gained to the technology under evaluation, that would otherwise not be possible?

The specific objectives set are to:

- Review past work available in the public domain on conceptual design tools, and highlight merits and shortfalls.
- Present in detail and in a holistic manner a novel approach for multi-disciplinary aero engine design.
- Demonstrate the potential of this approach using relevant conceptual design case studies.

An appropriate methodology therefore needs to consider in a carefully balanced manner the following disciplines: engine performance, engine aerodynamic and mechanical design, aircraft design and aerodynamic performance, emissions prediction and environmental impact, engine and airframe noise, and production, maintenance and direct operating costs. The conceptual design algorithm proposed herein is explicit, minimising internal iterations, reducing system complexity and improving computational speed.

2.0 METHODOLOGY

2.1 Conceptual design framework general requirements

For a conceptual design framework to be useful in both industry and academia a number of requirements must be met. In more detail, it must have the capability to:

- Be used for quick assessments of new engine technologies.
- Assess the benefits of technologies under differing economic and environmental conditions.
- Optimise a group of engine technologies by relatively simple algorithms to differing economic and environmental scenarios.
- Progressively incorporate new and novel technologies.
- Evaluate and optimise holistically study engines and engine/aircraft combinations.
- Provide initial starting points for engine designs for low economic and environmental impact that could be examined in depth by more complex and time consuming OEM (Original Equipment Manufacturer) tools.
- Act as an independent assessment tool for joint OEM ventures and provide useful information to partners and important stakeholders.

The methodology should be able to quantify risks and assess the impact of gas turbine design on the environment, by comparing and helping to rank future technologies and design concepts for civil aviation on a formal and consistent basis. In practice, such a framework will still need to rely heavily on the developers of new technologies providing data from realistic assessments of their capabilities and attributes, so that it can evaluate the costs and benefits at whole engine and whole aircraft level.

2.2 Typical practice in preliminary and conceptual design

A typical industry approach is first to optimise an aircraft, or aircraft family, using generic engine data, and then to optimise the engine for a detailed set of aircraft design requirements. The specification of this new engine starts with a set of thrust requirements, a basic design concept and initial estimates of the potential performance available from each major component and system. The next step is to construct design and off-design performance models. Major components are then sized and the gas path annulus is defined. Iterative design studies and assessments are then undertaken to refine the performance model and to complete a preliminary mechanical design for the engine. The nacelle lines can then be drawn and the overall powerplant weight and drag can be assessed. More details on the preliminary design process are given by Halliwell (17), Kurzke (29) and Kyritsis and Pilidis (39).

This process relies on the experience of the preliminary design team to produce realistic physical and functional models. Each new engine design builds on ones that have gone before. When new or improved technologies are invoked they are initially modelled on the basis of target levels of performance and target space envelopes and weights. As the research activities raise the TRL (Technology Readiness Level) of each technology, so improved component efficiency estimates become available and can be used to refine the whole-engine models. In estimating effects at the whole aircraft level, exchange rates are initially used for the effects of changes in SFC, engine weight and nacelle drag on the aircraft’s takeoff weight and fuel burned.

2.3 The TERA approach

Early efforts on the development and adaptation of TERA models for mechanical systems can be traced back to the early 90’s. TERA-oriented developments to consider drag and weight were initiated by Vicente (76) in an attempt to study the effect of bypass ratio on commercial aero engines designed for long-range subsonic aircraft.

Around the same period, Dilosquer (43) initiated a study on the relationship between long range engines and atmospheric pollution. The full spectrum of his work (45,46,44,48,47), essentially took the TERA approach a step further by introducing the influence of environmental impact and flight routes, in aero engine design and analysis.
The research interest soon spread to industrial gas turbine systems. Gayraud\(^{14}\) identified issues in gas turbine selection for power generation and attempted to address them through techno-economic assessments. His later work\(^{15}\), focusing on more complex systems, set the base for a decision support system for combined cycle schemes.

During the further development of TERA for aero applications, environmental impact assessment continued to remain a key element, as described by Whellens and Singh\(^{80}\). Further work on genetic algorithms\(^{81}\) introduced multidisciplinary optimization in the TERA armory of available tools and methods. These developments served as the foundation for demonstrating how a TERA approach could assist in the transition from the traditional, human-based conceptual design process to a more automated methodology\(^{79}\).

The potential environmental benefits from the use of hydrogen as an aviation fuel were studied extensively by Svensson\(^{70}\). His work served as the basis for introducing the capability of performing environmental impact assessments in the TERA tool\(^{71}\).

Further work on power generation schemes was carried out by Papadopoulos\(^{63}\) who investigated various thermodynamic cycles using the TERA approach. Work by Polyzakis\(^{64}\) focused on a techno-economic evaluation of trigeneration plants i.e. gas turbine power generation combined with absorption cooling and district heating.

Studies by Laskaridis et al.\(^{41,42}\) on the potential of more-electric aircraft and engine architectures introduced a semi-generic aircraft model for use with the TERA tool. Tsoudis\(^{75}\) worked on introducing an integrated computational marine vessel operation environment, tailored to realistically approach the life cycle operation of a marine gas turbine power plant, in a TERA version for marine applications.

Work by Khan et al.\(^{24,25}\) showcases how the TERA approach could be used for liquefied natural gas equipment selection. For more details on the TERA approach origins, current status and future developments the interested reader is referred to Ogaji et al.\(^{60,61}\) and Kyprianidis et al.\(^{32,31,33}\).

### 2.4 The proposed conceptual design algorithm

The conceptual design algorithm and computational framework proposed in this work, and described in detail below, aim to automate part of the aero engine design process. The proposed approach spans typical aero engine conceptual design and preliminary design, featuring a sophisticated explicit modular design, addressing major component design as well as aircraft system level performance. The modular approach utilised is illustrated in Fig. 1. Individually developed modules are integrated together in an optimiser environment; a large amount of information is available after every design iteration and can be used for many purposes such as technology impact assessment, sensitivity and parametric studies, multi-objective optimisation etc. This design architecture has some inherent advantages such as:

- **Accelerated tool development and lower maintenance cost** - Improvements in an individual module are possible without modifications to the rest of the modules i.e. the whole system does not need to be recompiled every time.
- **Legacy code utilisation** - Existing codes can be used with minimal to no adaptation through the use of custom wrappers.
- **Module plug-in/plug-out capability** - Individual modules can easily be replaced by more sophisticated OEM proprietary codes.
Run-time flexibility - Modules can be switched-off during particular simulations to improve the speed of execution, assuming their output is not of interest to the user.

Since the main use of such a framework would be to assess new engine technologies, a different approach - than the typical practice described earlier - needs to be adopted to simplify the conceptual design process. Therefore, the proposed algorithm first defines an engine thermodynamic cycle from a set of performance parameters, and then performs a full gas path layout design. For every individual engine design, the aircraft is scaled (from a baseline design) to satisfy the defined payload-range requirement based on the new engine dimensions, weight and performance. Some of the architectural details on which the present work has been inspired from are given in [5]. The actual conceptual design algorithm as presented here is illustrated in Fig. 2, while details are given for each module in the following sections.

One of the major efforts with the presented approach has been to remove - wherever possible - nested loops/iterations typically encountered in conventional conceptual design. Use of the proposed explicit algorithm minimises internal iterations, reduces system complexity, improves computational speed, and through a good set of constraints it will also give an optimal aero engine conceptual design that may be feasible in terms of major engine certification and customer requirements.

It must be noted that the conceptual design algorithm and computational framework presented herein is not an expert system. It is in essence a design tool that requires a practitioner with experience in engine preliminary design, including a good understanding of engine performance and component design, as well as sufficient knowledge of the capabilities of the technologies under assessment. Results emanating from the proposed approach can have meaningful interpretations only when the underlying assumptions in the conceptual design algorithm are well understood by the practitioner.
2.5 Module description

The individual modules that constitute the integral parts of the proposed conceptual design approach are described in the following subsections.

2.5.1 Engine performance

The proposed conceptual design algorithm first starts by defining an engine thermodynamic cycle from a set of input performance parameters. During this procedure, the top of climb condition (Alt = 35000 [ft], $M_{cr}$, ISA +10 [K]) is set as the performance design point for the purpose of component map scaling and nozzle area calculations. The user may alter the engine cycle at top of climb by varying typical performance parameters such as fan pressure ratio, bypass ratio, overall pressure ratio, IPC/HPC (Intermediate Pressure Compressor / High Pressure Compressor) work split, combustor outlet temperature, cooling mass flow ratios, component efficiencies, heat exchanger effectiveness etc. This operating condition has been chosen since it is typically the point where the highest component corrected flows are encountered in modern high bypass ratio turbofans, and hence it is important for component aerodynamic design.

Climb, cruise and descent ratings are then calculated as extended performance tables to be utilised in the downstream aircraft performance analysis. Important engine operating conditions are also simulated as steady-state off-design points, including:

- Mid-cruise (Alt = 35000 [ft], $M_{cr}$, ISA)
- Hot day end of runway take-off (Sea-level, $M = 0.25$, ISA +15 [K])
- ICAO emissions certification take-off 100% $F_{N}$ (ISA SLS)
- ICAO emissions certification climb-out 85% $F_{N}$ (ISA SLS)
• ICAO emissions certification approach 30% $F_N$ (ISA SLS)
• ICAO emissions certification idle 7% $F_N$ (ISA SLS)
• ICAO noise certification sideline (Alt = 300 [m], $M = 0.26$, ISA +10 [K])
• ICAO noise certification cutback/flyover (Alt = 300 [m], $M = 0.26$, ISA +10 [K])
• ICAO noise certification approach (Alt = 118 [m], $M = 0.222$, ISA +10 [K])

The mid-cruise operating condition is important for the cycle efficiency optimisation as well as for component aerodynamic design. The hot day end of runway take-off condition is necessary for the thermo-mechanical design, particularly for cooling flow selection and maximum cycle temperature and rotational speed setting. Finally, the ICAO points are important in order to estimate engine performance with respect to noise and emissions legislation.

For all these steady-state operating points and ratings, a practitioner needs to choose the main engine control parameter such as combustor outlet temperature or net thrust, or practically measurable parameters such as low pressure shaft rotational speed, and low pressure turbine temperature. Similarly, potential secondary control parameters such as low pressure turbine capacity, nozzle areas, variable guide vane angles or intercooler effectiveness schedule for engines with variable geometry nozzles.

In principle, no iterations are performed for take-off and climb thrust requirements, or cooling mass flow and velocity ratio re-optimisation; this speeds up the optimisation process substantially. Constraints for output parameters such as compressor delivery temperature, high pressure turbine rotor metal temperatures, or even time between overhaul (a value calculated in the lifing part of the economics module) are instead set at the end of the calculation sequence. A multi-point design approach is utilised and the interested reader is referred to the detailed presentation given in [67]. Nonetheless, individual input and output parameters may still be fixed adlib in such cases where it is expected to enhance the designers understanding, for example during a sensitivity analysis study of a new concept or technology.

Engine performance modelling is primarily based on the use of generic compressor and turbine characteristics as well as empirical correlations [78]. Component characteristics are scaled based on the procedure outlined in [50] at the hot-day top of climb condition; nozzle throat areas are also determined at the same operating condition. This particular choice for the reference condition is consistent with recent modelling work presented in [1]. Off-design matching is achieved using the generic matching procedure presented in [10]. All thermodynamic calculations are based on the assumption of an ideal gas (i.e., variable specific heat capacity); therefore, the main thermodynamic equation used is the Gibbs equation. The HPT Thermal Barrier Coating (TBC) average external surface blade metal temperature and corresponding cooling flows are modelled using the simplified approach presented in [28].

2.5.2 Engine dimensions, weights and production cost

Thermodynamic data from the engine performance module are used to perform a full gas path layout design. The thermo-mechanical design is carried out at the most demanding condition i.e., hot day end of runway take-off condition, using a component by component approach. Where aerodynamic design is concerned, most components are typically designed at the top of climb condition where the highest corrected flows are encountered, but mid-cruise is also considered with maximum efficiency in mind. The full engine geometry is subsequently used to calculate the total engine weight in a similar component by component approach.
The full engine geometry is also used for calculating the engine production cost using a bottom-up approach. The engine is broken down into components, and each component is consecutively broken down into smaller parts. The cost assessment for each part is further divided into material and manufacturing cost. The final result is an assumed typical engine and parts cost to the operator, rather than the true unit cost. More details on the approach utilised for deriving the engine production cost are given in (3).

2.5.3 Aircraft dimensions, weights, aerodynamics and performance

In an iterative design process the aircraft needs to be scaled to the new engine design and predict block fuel for a given set of payload-range requirements. Climb, cruise and descent rating extended performance tables are used for this, as well as additional performance data for take-off, approach and landing, taxi and hold. The engine weight and dimensions, calculated upstream in the conceptual design sequence, are also considered.

The aircraft drag polar and weight breakdown are predicted at component level from the aircraft geometry and high lift device settings for the take-off and approach phases. Fuel burned is calculated for the entire flight mission including reserves as illustrated in Fig. 3. Cruise is performed at the optimum altitude for specific range (fixed cruise Mach number) using a step-up cruise procedure as the aircraft gets lighter. A comprehensive take-off field length calculation is performed for all engines operating and one engine inoperative conditions up to 1500 feet. The aircraft dimensions modelling is based on (21), while aircraft weight modelling followed the principles outlined in (21,66,74). The aircraft aerodynamics are modeled according to (21,9), and aircraft performance modelling is based on (21,41).

During design space exploration a rubberised* aircraft wing model is used to capture "snowball effects" with respect to maximum take-off weight variation. It offers a simplified method for aircraft scaling, that covers the major aircraft/engine conceptual design interactions i.e. first order effects. The given aircraft configuration is adapted, on a constant wing loading and aspect ratio criterion, in order to suit the new engine design (i.e. performance, weight and geometry) as well as to satisfy the defined payload-range requirements. Once the aircraft geometry has been set for the aircraft design range, a second set of fuel burned calculations is performed for the business range with the aircraft geometry fixed this time. All aircraft performance data fed to the modules downstream in the conceptual design sequence are for the business range.

As the aircraft gets lighter during the flight mission profile, less thrust is required for cruise; therefore the engine will gradually be operated at a lower combustor outlet temperature. A lighter, smaller and more efficient engine means that the aircraft will be lighter to begin with, resulting in a lower maximum take-off weight design requirement. The aircraft wing will therefore be resized to meet the new lift requirements while the tail plane is also resized to retain aircraft stability. Changing the aircraft wing and tail area essentially means that their weight will change, hence, the overall aircraft operating empty weight will change (first snowball effect). It also means that the drag polar will change (second snowball effect). The weight of other components also changes in some cases; for example the landing gear systems will be resized using the new maximum take-off weight design requirement. The fuel tank volume is recalculated for the new wing size and a check is made to confirm that the fuel tank

* Rubberised refers to an aircraft geometry and weight that is optimised to meet the performance specification of the integrated engine.
volume is sufficient for the given mission. The overall aircraft scaling procedure eventually results in lower cruise thrust and combustor outlet temperature requirements. In conclusion, the required cruise thrust, combustor outlet temperature and specific fuel consumption will not only vary during the cruise phase to account for the aircraft getting lighter - due to the mass of fuel consumed - but will also vary for every new individual engine design during the design space exploration.

It is therefore hard to set a fixed mid-cruise point for which consistent analysis could be performed for the entire design space - this being a typical approach used in conceptual design with fixed aircraft geometry assumption. For example, for a 12500 [km] design range mission, the cruise calculation is broken into more than 800 segments/points. The mid-cruise point could in this case be selected to be the middle point in the distance covered during cruise, but then care should be taken in analysing it’s variations (in terms of thrust, specific fuel consumption, and combustor outlet temperature requirements) as one would have to keep in mind that it’s not only affected by engine performance effects but by aircraft design as well. Also care would need to be taken during optimisation since this selection could result in numerical noise every time the middle point coincides with a step-up cruise altitude change.
To avoid potential numerical un-smoothness issues, when single point mid-cruise analysis is required, the proposed approach considers a time-averaged engine cruise operating point which is based on the scaled aircraft actual performance results.

In principle, no iterations are performed for take-off and climb thrust requirements. Constraints for output parameters such as FAR (Federal Aviation Regulations) take-off field length, time to height etc. are only set at the end of the conceptual design calculation sequence. This improves computational speed and allows interesting trade-off studies such as trading take-off field length for engine life, direct operating costs and/or fuel efficiency.

2.5.4 Emissions and environmental impact

Thermodynamic data from the engine performance module are used to predict the emissions levels for the ICAO LTO (Landing and Take-Off) cycle, as well as interpolated thermodynamic data from the aircraft module, for the business case mission, to predict the emissions levels for the entire flight profile.

The $D_p\mathrm{NO}_x/F_{\infty}$ figure is calculated and compared against ICAO Annex 16 Volume II legislative limits\(^{(19)}\), as well as the medium and long term technology goals set by CAEP\(^{(58)}\). There are currently no regulatory limits set for pollutants emitted above 3000 [ft] (i.e. for the climb, cruise and descent flight phases), despite the fact that potential regulation methodologies for such purposes have been under discussion for a long time\(^{(54,51,59)}\).

A large number of public domain semi-empirical correlations may be used for such an assessment, each of which being suitable for a particular combustor concept such as rich-burn single-annular, rich-burn dual-annular, or lean-burn design. The approach presented in\(^{(35)}\) is used herein primarily due to its generality.

The environmental impact of a given engine/aircraft combination can be estimated by the module in terms of GWP (Global Warming Potential) using $\mathrm{NO}_x$, $\mathrm{CO}_2$ and gaseous $\mathrm{H}_2\mathrm{O}$ emissions estimates for the entire business case flight profile and a parametric GWP model from\(^{(71)}\) with a selected time horizon of 100 years; this approach was chosen for its simplicity and computational speed compared to employing a sophisticated 3D climate model. GWP is an index that attempts to integrate the overall climate impacts of an emitted pollutant over a time horizon of 100 years, essentially relating the impact to that of an equivalent mass of $\mathrm{CO}_2$. The GWP values for gaseous $\mathrm{H}_2\mathrm{O}$ and $\mathrm{NO}_x$ utilised in the environmental impact model are given in Table 1.

It should be noted that there are large uncertainties in environmental impact results produced with GWP models, particularly with short-lived species such as $\mathrm{H}_2\mathrm{O}$ and $\mathrm{NO}_x$. The Intergovernmental Panel on Climate Change states\(^{(20)}\):

To assess the possible climate impacts of short-lived species and compare those with the impacts of the long-lived greenhouse gases, a metric is needed. However, there are serious limitations to the use of global mean GWPs for this purpose. While the GWPs of the long-lived greenhouse gases do not depend on location and time of emissions, the GWPs for short-lived species will be regionally and temporally dependent.

Although, some newer GWP implementations may seem more adequate as a metric for short-lived species, no consensus has been reached yet by the scientific community on a validated metric for the environmental impact of aviation induced emissions\(^{(65)}\). To that extent, Forster et al.\(^{(12)}\) argues that it is still premature to include the effects of short-lived species in policy schemes for aviation. Details on metrics currently under evaluation are given in\(^{(26,11,13)}\)
Table 1
Global warming potential figures for CO$_2$, H$_2$O(g) and NO$_x$ versus altitude (reproduced from\(^{(71)}\)).

<table>
<thead>
<tr>
<th>Altitude [km]</th>
<th>CO$_2$ GWP</th>
<th>H$_2$O(g) GWP</th>
<th>NO$_x$ GWP</th>
</tr>
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<tbody>
<tr>
<td>0</td>
<td>1</td>
<td>0.0</td>
<td>-7.1</td>
</tr>
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<td>1</td>
<td>1</td>
<td>0.0</td>
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2.5.5 Noise

Thermodynamic data from the engine performance module, detailed engine component geometry from the engine dimensions and weights module, and aircraft geometry and flap settings during take-off and approach are used for estimating the noise produced, by all major sources, for the main ICAO noise certification points i.e sideline, flyover/cutback, and approach. The trajectory points as well as the relevant times required to reach each point, are fixed, and therefore improvements in aircraft take-off performance are not accounted for. Heat exchanger, auxiliary nozzle effects and combustion noise for lean burning concepts are not considered, but airframe noise is accounted.

A detailed accounting of the individual methods used to consider each noise source is presented in\(^{(30)}\). A cumulative EPNL figure is calculated according to the ICAO Annex 16 certification procedures and compared against the certification limits\(^{(18)}\). The resulting margin is fed to the optimiser and at the end of the calculation procedure the design will be judged for its feasibility.

2.5.6 Economics

The Direct Operating Costs (DOC) for the engine/aircraft combination over a given time period are calculated using a large amount of data from all upstream modules in the conceptual design sequence. Various elements are accounted for including:

- Aircraft utilisation times
Inflation
• Fuel price volatility
• Lifting considerations
• Noise, CO$_2$ and NO$_x$ taxes

The maintenance part of the DOC depends mainly on production cost and time between overhaul calculations. Time between overhaul calculations involve a high pressure turbine stress, creep and fatigue analysis using material information from a common material properties library and the component geometry as designed upstream in the conceptual design sequence; cooling effectiveness, thermal barrier coating effects, and average high pressure turbine rotor inlet temperature values for take-off, cruise, climb, descent, and reverse-thrust operation are considered. Weibull distributions are utilised to account for the uncertainty of other engine components failing, including the high pressure compressor, combustor, and life-limited parts. The overall approach is described in detail in $^{(56)}$ and $^{(21)}$.

2.6 Model validation and uncertainties

In order to yield useful information from sensitivity studies as well as reasonably accurate optimisation results, when using the proposed approach, it is paramount that:

• A validation process is carried out for the engine/aircraft model
• The uncertainty levels in the methodologies used are aligned and well understood

In the validation process involving the presented approach the first step is to set up the engine performance model. For every new technology and engine configuration initial estimates of the potential performance will need to be made using expert knowledge from component specialists. As the research activities raise the TRL of each technology, so improved component efficiency estimates become available. If such a computational framework is being used in a situation where a limited amount of information is being made available such as in integrated collaborative projects or in competitor product modelling - which implies for example the use of generic component characteristics - then further model calibration is required, typically against a specification provided by an OEM.

An example of the accuracy of a calibrated performance model - developed for an intercooled recuperated core turbofan engine for long range applications, assuming a year 2020 entry into service technology - against information provided by OEMs, is illustrated in Fig. 4. Deviations between model predictions and OEM specification are restricted for all performance parameters to roughly 2% for the three major operating points. There is little merit in attempting to achieve better model alignment using generic component characteristics. An OEM engine performance model accuracy for a pre-production engine during the conceptual design phase may typically be no better than to within 1% SFC; at part-load the model uncertainty is expected to be significantly higher.

Where engine mechanical and aerodynamic design is concerned it is important that engine components are sized at the appropriate operating conditions. Component sizing methods need to be checked for physical behavior as the thermodynamic cycle is varied during the optimisation process. Similarly to engine performance models, there is again little merit in attempting to calibrate component weight models against an OEM specification to achieve deviations of less than 5%. Where aircraft weight and performance modelling is concerned
the uncertainty levels may be roughly similar; 2% in block fuel and 5% in each aircraft weight group (wing, fuselage, landing gear etc.) pose as reasonable deviation targets.

It is important to note here that the proposed approach is primarily intended for comparing and helping to rank future technologies and design concepts. It is therefore trends that need to be captured properly (i.e., the effect on block fuel from introducing or improving a particular engine technology) rather than absolute values. Such trends can be predicted sufficiently accurately, if the engine/aircraft models have been calibrated at the above proposed accuracy levels, as long as the modelling has been performed on a formal and consistent basis. This of course implies that the systems expert carrying out the study has sufficient knowledge of the capabilities of the technologies under assessment.

Where the engine and airframe noise prediction models are concerned, calibrating noise estimates to within 1 [EPNdB] per component (or better said, per noise source) should be sufficient. With EPNL figures being very much dependent on the selected aircraft flight path, it is reasonable to expect uncertainty levels of a few [EPNdB] for the predicted noise levels for each one of the three main ICAO noise certification points; noise predictions at approach conditions will also tend to be affected by uncertainties in the engine performance predictions.

Calibrating NO\textsubscript{x} prediction models to an accuracy to within about 10% is also reasonable. Actual uncertainty levels during the preliminary design of novel combustor concepts may be much higher; emission predictions at part-load will also be affected by uncertainties in the engine performance predictions. Furthermore, and as discussed earlier, no consensus has been reached yet by the scientific community on a validated metric for the environmental impact of aviation.

Where cost estimates are concerned these are highly dependent on the assumptions being made. Although the presented framework is capable of performing risk analysis to consider fuel price volatility, engine lifing etc. the framework still needs to be further developed to fully

Figure 4. Deviations of engine performance model predictions from OEM specifications for the long range intercooled recuperated core turbosfan engine.
consider robust design - rather than traditional deterministic analysis - in a computationally efficient manner.

2.7 Engine design feasibility and optimisation

In order to speed up the execution of individual engine designs, the proposed approach attempts to minimise internal iterations in the calculation sequence through the use of the explicit algorithm described in the previous sections. Aero-engine designs however are subject to a large number of constraints and these need to be considered during conceptual design.

Constraints in the presented framework are applied through the optimiser environment procedures at the end of the calculation sequence i.e. after the the economics module has been executed. During a numerical optimisation the framework will select a new set of input design parameters for every iteration and the resulting combination of aircraft and engine will be assessed. Using user specified objective functions the optimiser will home in on the best engine designs, determining the acceptability/feasibility of each design through the constraints set by the user. Infeasible designs will be ruled out, while non-optimum design values will result in engine designs with non-optimum values for the objective function selected. The optimiser will therefore avoid regions in the design pool that result in infeasible or non-optimum engine designs.

Design constraints set by the practitioner can include among others:

- Take-off HPC delivery temperature and other important performance parameters.
- FAR take-off field length for all engines operating and balanced field length for one engine inoperative conditions.
- Time to height.
- LTO $D_p\text{NO}_x/F_{\text{oo}}$ vs. ICAO certification limits and CAEP medium and long term goals.
- Cumulative EPNL vs. ICAO certification limits.
- Engine time between overhaul.

For example, during a block fuel optimisation all engine aircraft combinations which do not fulfil the take-off and time to height criteria set will be discarded as infeasible. Due to the underlying physics of the presented framework, this will lead to an optimal engine and aircraft combination for the defined objective function. All large engines will produce heavier aircraft with more drag and thus higher block fuel weight. Engines which are too small will not deliver enough thrust to satisfy the take-off and time to height criteria.

A simplified example of utilizing the presented framework for design space exploration, with active constraints, is illustrated in Fig. 5. In principle, nacelle drag should also be added as a third dimension when plotting design space exploration results that consider varying levels of specific thrust, but this has been omitted here in order to simplify the plot. The aircraft exchange rates for the baseline design were used for plotting a constant block fuel line (ignoring nacelle drag effects and nonlinearities) and this iso-line therefore defines, in a simple manner, the boundaries of trading specific fuel consumption for weight. During a block fuel optimization, the optimizer continuously evaluates different engine designs as it searches for the optimal solution. Designs that fail to meet constraints set by the practitioner are discarded and have been labeled as infeasible in the plot.
3.0 RESULTS AND DISCUSSION

Relevant case studies have been selected and are presented in this section in order to demonstrate the power of the proposed approach for:

- Technology assessment\(^{(31,33,34,40,35)}\)
- Design sensitivity analysis\(^{(37,57)}\)
- Design space exploration\(^{(38,56)}\) and optimization\(^{(67,36)}\).

3.1 Technology assessment

The presented approach can be used for carrying out NO\(_x\) emissions assessments of future aero-engine designs incorporating modern Rich–burn Quick–quench Lean–burn (RQL) and lean-burn combustor technology. Two such assessments that focused on the prediction of NO\(_x\) emissions levels for the ICAO LTO cycle of advanced novel aero-engine designs were presented in detail in\(^{(33,34)}\). The predictions from these studies are compared through Fig. 6 against ICAO Annex 16 Volume II legislative limits\(^{(19)}\), as well as the Medium Term (MT) and Long Term (LT) technology goals set by CAEP\(^{(58)}\). Balloons have been used to indicate the uncertainty in the NO\(_x\) predictions. The authors have considered a ±10% overall uncertainty in the predicted NO\(_x\) emissions when using the proposed correlations. In addition, a relatively small experience-based uncertainty is considered in the estimated overall pressure ratio of the various future cycles. The uncertainty is meant to cover the lower Technology Readiness Level (TRL) associated with some of the proposed future aero-engine designs. In the case of those engines already in service, namely the CFM-56, Trent 800 and GE90, the bubbles have been sized to cover the spread in the information contained in the ICAO databank for the different variants of each engine model.

The study identified that a sufficient margin against the ICAO CAEP/8 LTO cycle NO\(_x\) certification limit may be achieved for all the configurations that have been assessed assuming technology levels consistent with a year of entry into service around 2020. Lean-burn
combustion technology can offer improved $NO_x$ performance when compared to RQL technology, particularly if coupled with variable geometry Low Pressure Turbine (LPT) nozzle guide vanes that can help modulate the combustor primary zone Air Fuel Ratio (AFR) at part-load conditions.

Nonetheless, it can been observed in Fig. 6 that despite the improved certification margin, absolute $NO_x$ emissions per passenger-kilometer are likely to increase at least for some of the proposed concepts. It is not fully clear which design parameters drive this increase and what their impact might be on high-altitude $NO_x$ emissions, which are currently not covered by any legislation. An earlier study presented in (8) did attempt to shed some light on this topic but had several shortcomings. The authors failed to separate the effect of specific thrust (propulsive efficiency) and OPR (thermal efficiency) on $NO_x$ emissions. A fully rigorous engine performance model was also not utilised, which meant that it was not possible to study the engine behaviour at different operating conditions i.e., sea-level versus high-altitude. These important interrelationships have been investigated and discussed in detail in (35) using the presented framework and some of these results are presented below.

The absolute amount of $NO_x$ emitted during a typical long–haul flight decreases with decreasing specific thrust, as illustrated in Fig. 7. This behaviour can be observed for both RQL and Lean Direct Injection (LDI) combustor technology and is attributed primarily to aircraft-level snowball effects from the resulting reduction in SFC. Cruise $NO_x$ emissions are particularly low for LDI combustors - largely independently of specific thrust level - since around this point the design tends to operate at its optimum performance.

The amount of $NO_x$ emitted during a typical LTO cycle also decreases with decreasing specific thrust, as illustrated in Fig. 8. This behaviour can be observed for both RQL and LDI combustor technology and is attributed primarily to the expected reduction in $EINO_x$ at high-power. LDI combustors are particularly sensitive at high-power conditions; their performance is largely dependent on the primary zone flame temperature ratio between take-off and cruise.

Figure 6. $NO_x$ emissions assessment for different future aero engine design concepts (DDTF LR: Direct–Drive TurboFan for Long Range applications; DDTF IC LR: Direct-Drive TurboFan with Intercooled Core for Long Range Applications; IRA LR: Intercooled Recuperated turbofan for Long Range applications; GTF SR: Geared TurboFan for Short Range applications; GOR SR: Geared Open Rotor for Short Range applications).
This ratio tends to reduce with reducing specific thrust and therefore LDI technology is found to be particularly suitable for low specific thrust turbofan designs.

Overall, reducing specific thrust has a more pronounced (and beneficial) effect on LTO $NO_x$, rather than mission $NO_x$, and this holds particularly true for LDI combustors. This suggests a gap in the current certification legislation which seems to favour reduced sea-level $NO_x$ over high altitude $NO_x$.

### 3.2 Sensitivity analysis

The presented approach can also be used for deriving averaged exchange rates for investigating the effect of technology parameter deviations on block fuel i.e. at aircraft system level. The exchange rates presented in Fig. 9 were derived in\(^{(37)}\) for an intercooled core geared fan turbofan engine by keeping all design parameters including thrust at their datum values and introducing single perturbations, one for each technology target parameter, at hot-day top of climb conditions. The technology target parameters studied were: component polytropic efficiencies, duct, combustor and intercooler pressure losses, and High Pressure Turbine (HPT) Thermal Barrier Coating (TBC) average external surface metal temperature (by varying the HPT cooling flow).

The sensitivity parameters compiled allow for system level quantification of the importance of research on specific component technologies i.e. they can be used to assess the importance of progress in specific component technologies for this engine configuration. Inversely, they also help quantify the impact of technology shortcomings. Further details on the precise derivation of these exchange rates are provided in\(^{(37)}\).

It can be observed that the influence of the low pressure system component technology on performance is quite marked for the geared variant of the intercooled core configuration for long range applications. This is justified considering the fact that this a fairly low specific thrust design. In fact, the low pressure system component technology has the greatest influence on performance, as expected for an ultra high bypass ratio engine; significant fuel benefits are expected by improving fan and LPT efficiency. Inversely, shortcomings in meet-
ing projected technology targets for the low pressure system will have a major impact on overall engine/aircraft performance.

With fan mass flow increasing and fan tip pressure ratio reducing, pressure losses in the bypass duct tend to have an increasingly dominant effect on transfer efficiency and, hence, on the impact of propulsive efficiency improvements on SFC. As can be observed in Fig. 9, a 1% increase in bypass duct pressure losses will reduce the projected block fuel benefits by roughly 2.2%. It should be noted here that previous work\(^{(38)}\) has indicated that a 10 [in] increase in fan diameter and the consequent reduction in specific thrust is unlikely to give more than a 0.85% improvement in block fuel.

The efficiency of the Intermediate Pressure Compressor (IPC) has a significantly smaller influence on block fuel, compared to the High Pressure Compressor (IPC), which reflects the significantly higher pressure ratio placed on the High Pressure (HP) shaft and despite the beneficial effects on compressor work due to intercooling. Similarly, the efficiency of the HPT has a substantially lower influence on block fuel, compared to the LPT for the same reasons. The influence of jet pipe and combustor pressure losses, as well as HPT external surface blade metal temperature on block fuel is far from negligible. Failure to deliver the expected efficiency levels for the compressor components will increase combustor inlet temperatures resulting in higher NO\(_x\) levels and reduced component life; combustor designs are highly sensitive to inlet conditions and it is highly likely that a significant shortcoming in compressor efficiency would result in a re-design of the combustor.

It can be observed, that intercooler pressure losses have a non-negligible effect on block fuel. Losses in the intercooler hot stream are relatively more important compared to losses in the cold stream, although it should be expected that losses in the cold stream should become increasingly important as the intercooler mass flow ratio (W132Q25) is increased. Failure to achieve the intercooler pressure loss targets set can significantly reduce the projected block fuel benefits for the intercooled core configurations.
Figure 9. Sensitivity analysis for different technology target parameters.

Figure 10. Effect of customer requirements on engine weight and business case block fuel.
3.3 Design optimization

Another useful application for the proposed conceptual design algorithm and presented computational framework is design optimization at aircraft system level. In such studies the focus is placed on identifying the “globally” fuel optimal values of key engine design parameters while satisfying all customer requirements e.g. time to height and FAR take-off distance.

In the results presented in Fig. 10 from \(^{(36)}\), the green circle indicates the fuel optimal design for a FAR take-off distance of 2.5km. It can be observed that customer requirements such as FAR take-off distance play a very important role in determining a fuel optimal engine design. Tougher customer requirements result in bigger and heavier engines that burn more fuel. It can also be observed that varying key engine design parameters such as specific thrust, overall pressure ratio and other has a considerable effect in terms of engine weight. Hence, it is important to consider an aircraft system level metric for fuel efficiency i.e., block fuel, rather than rely on an engine system level metric such as specific fuel consumption.

4.0 CONCLUSION

The research effort presented in this paper focused on various aspects of a multi-disciplinary aero engine conceptual design approach and computational framework for assessing the impact of technology advancements on future turbofan engine emissions and direct operating costs. Firstly, a brief review of some conceptual design tools was carried out and the merits and shortfalls of such tools were discussed. The development of a new conceptual design approach was presented; this work considered the following disciplines: engine performance, engine aerodynamic and mechanical design, aircraft design and aerodynamic performance, emissions prediction and environmental impact, engine and airframe noise, and production, maintenance and direct operating costs.

In relation to the proposed algorithm, the main findings presented in this work can be summarised as follows:

- From the conceptual design tools reviewed, most codes failed to consider one or more important disciplines for engine conceptual design, which can severely hinder the degree of realism during design space exploration. Unnecessary nested loops/iterations in the conceptual design algorithms were often encountered which increased system complexity and reduced computational speed, while lack of code modularity - present in some of them - affected the system’s maintainability and extendability. Furthermore, the level of fidelity used for considering each discipline may sometimes have been unnecessarily unbalanced i.e., low order codes being linked with high resolution codes upstream or downstream in the conceptual design procedure.
- To conceive and assess engines with minimum environmental impact and lowest cost of ownership in a variety of emission legislation scenarios, emissions taxation policies, fiscal and air traffic management environments, a tool following a Techno-economic, Environmental and Risk Assessment (TERA) - or another similar - approach is required.
- The presented algorithm and computational framework can assist in the transition from the traditional, human-based aero engine conceptual design procedure to a more automated process.
- The proposed explicit conceptual design algorithm minimises internal iterations, reduces system complexity and improves computational speed; through a good set of constraints,
such an algorithm will give an optimal aero engine conceptual design that may prove feasible in terms of major engine certification and customer requirements.

- In order to yield useful information from sensitivity studies as well as reasonably accurate optimisation results it is paramount that a validation process is carried out for the engine/aircraft model. The uncertainty levels in the methodologies used need to be aligned and well understood.

The proposed explicit conceptual design algorithm reduces system complexity, improves computational speed and can make design space exploration and optimisation results easier to interpret. Through a good set of constraints, it will also give an optimal aero engine conceptual design that may prove feasible in terms of major engine certification and customer requirements. In relation to the specific research questions set, the benefits and power of the proposed multi-disciplinary conceptual design approach has been demonstrated through a variety of unique case studies. These range from technology assessment and design sensitivity analysis to design space exploration and optimization. The chosen level of detail was found appropriate for design optimization of novel engine concepts and technologies, and allowed new insights to be gained to the technology under evaluation. The overall ambition and accomplishment of this effort has been to set the necessary base for an assessment methodology that can quantify risks and assess the impact of gas turbine design on the environment, by comparing and helping to rank future technologies and design concepts for civil aviation on a formal and consistent basis.

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